

Securities Regulation & Law Report Table of Cases
— Vol. 42, Nos. 1-49, pp. 1-2440
— Jan. 4 -- Dec. 20, 2010

3com Shareholders Litig., In re (Del. Ch.), 61
1545 Ocean Ave. LLC, In re (N.Y. App. Div.), 271
\$304,041.01 on Deposit at Citibank N.A.; U.S. v.
(S.D.N.Y.), 1246; 1514
\$2,050,000 on Deposit in Fid. Investments Account
No. X57-197955; U.S. v. (S.D.N.Y.), 1630
\$625,000,000 on Deposit at JP Morgan Chase Bank
N.A.; U.S. v. (S.D.N.Y.), 2342
\$7,206,157,717 on Deposit at JP Morgan Chase Bank
N.A.; U.S. v. (S.D.N.Y.), 2396

ABB Ltd-Jordan; U.S. v. (S.D. Tex.), 1898
ABB Ltd.; SEC v. (D.D.C.), 1898
Abdullah; SEC v. (S.D.N.Y.), 1295
Abod, In re (SEC), 1489
Access Indus. Inc.; Norex Petroleum Ltd. v. (2d Cir.),
1847
Adams, In re (SEC), 957
Adams; SEC v. (D. Mass.), 957
Adelphia Communications Corp. Sec. and Derivative
Litig., In re (S.D.N.Y.), 1771; (2d Cir.), 2003
Adelphia Recovery Trust v. Bank of Am. N.A.
(S.D.N.Y.), 33
Aegis Communications Group Inc.; Radmore v. (U.S.,
rev den), 366
Aetna Inc. Sec. Litig., In re (3d Cir.), 1531
Affco Investments 2001 LLC v. Proskauer Rose LLP
(5th Cir.), 2041
AGB Partners LLC, In re (SEC), 191
Aguirre v. SEC (MSPB), 1283
AIG Global Sec. Lending Corp. v. Banc of Am. Sec.
LLC (2d Cir.), 1414
Air Prods. and Chemicals Inc. v. Airgas Inc. (Del.
Ch.), 483; 1976; (Del.), 2308
Airborne Health Inc. v. Squid Soap LP (Del. Ch.),
1483
Akzo Nobel Chemicals Ltd. v. European Comm'n
(EU, ECJ), 1801
Alaska Elec. Pension Fund v. Brown (Del.), 156
Alaska Elec. Pension Fund; Pharmacia Corp. v. (U.S.,
rev den), 895
Alcon S'holder Litig., In re (S.D.N.Y.), 1050; 1369
Alden Global Distressed Opportunities Fund LP v. J.P.
Morgan Chase Bank N.A. (N.Y. Sup. Ct.), 2118
Alexander, In re (SEC), 1325
Alexander v. KOR Elecs. (Cal. Super. Ct.), 486
Alexander; SEC v. (E.D.N.Y.), 2317
Alexander; SEC v. (N.D. Cal.), 1956
Aleynikov; U.S. v. (S.D.N.Y.), 308; 1732; 2393
Alguire; Janvey v. (5th Cir.), 2398
All Right, Title and Interest in Real Prop. and
Appurtenances Known as 1081 Barnegat Lane,
Mantoloking, N.J. 08738; U.S. v. (S.D.N.Y.), 1246
Alliance One Intl. AG; U.S. v. (W.D. Va.), 1551
Alliance One Intl. Inc.; SEC v. (D.D.C.), 1551
Alliance One Tobacco Osh LLC; U.S. v. (W.D. Va.),
1551
Allmendinger; U.S. v. (E.D. Va.), 1806
Alstom SA Sec. Litig., In re (S.D.N.Y.), 1802
Altabet v. Cleantech Biofuels Inc. (D. Nev.), 1464
Alternate Energy Holdings Inc.; SEC v. (S.D. Idaho),
2406
Altman, In re (SEC), 2171
Altman v. SEC (S.D.N.Y.), 2334
Aluminium Bahrain B.S.C. v. Sojitz Corp. (S.D.
Tex.), 1093
Alvarez; U.S. v. (D.D.C.), 121
Amado; San Francisco Residence Club Inc. v. (N.D.
Cal.), 1144
Amaranth Natural Gas Commodities Litig., In re
(S.D.N.Y.), 1877
Ambac Fin. Group Inc.; Tolin v. (S.D.N.Y.), 18
Ambassadors Group; Plumbers, Local 12 Pension
Fund v. (E.D. Wash.), 1144
American Bank v. Menasha, Wis. (7th Cir.), 2348

American Equity Inv. Life Ins. Co. v. SEC (D.C. Cir.),
1365
American Equity Life Inv. Holding Co.; SEC v. (S.D.
Iowa), 412
American Express Co.; Slayton v. (2d Cir.), 172; 1007
American Express Fin. Advisors Inc.; Mosley v.
(Mont.), 758
American Gen. Life Ins. Co. v. Germaine Tomlinson
Ins. Trust (S.D. Ind.), 1454
American Intl. Group Inc. 2008 Sec. Litig., In re
(S.D.N.Y.), 1854
American Intl. Group Inc. Derivative Litig., In re
(S.D.N.Y.), 652
American Intl. Group Inc., In re (S.D.N.Y.), 1428
American Intl. Group Inc.; Starr Found. v. (N.Y. App.
Div.), 1115
American Mut. Funds Fee Litig., In re (C.D. Cal.), 127
American Settlement Assocs. LLC; SEC v. (S.D.
Tex.), 587
American Signature Inc. v. Moody's Investor Serv.
Inc. (S.D. Ohio), 1420
American Tel. & Tel. See AT&T Corp.
Ameriprise Fin. Inc. v. Gallus (U.S., vac and rem), 677
Ameritrust Mortgage Sec. Inc.; Cleveland, City of v.
(6th Cir.), 1478
Amerivet Sec. Inc. v. FINRA (D.C. Super. Ct.), 2138;
2425
Amirsaleh v. Board of Trade of City of N.Y. Inc. (Del.
Ch.), 247
AnchorBank FSB v. Hofer (W.D. Wis.), 528; 1797
Anderson v. AT&T Corp. (S.D.N.Y.), 908
Anderson v. Dairy Farmers of Am. Inc. (D. Minn.),
701
Anderson; Prizm Group Inc. v. (Del. Ch.), 1021
Anderson; SEC v. (N.D. Ill.), 1956
Andreessen; Brockton Contributory Ret. Sys. v. (Cal.
Super. Ct.), 1591
Anegada Master Fund Ltd. v. PXRE Group Ltd.
(S.D.N.Y.), 229
Anschutz Corp. v. Deutsche Bank Sec. Inc. (N.D.
Cal.), 794
Anticevic; SEC v. (S.D.N.Y.), 226; 1009; 1110; 1668
Antoine; U.S. v. (S.D. Fla.), 1108
Antonucci; U.S. v. (S.D.N.Y.), 1952
Anvil Corp.; Quinn v. (9th Cir.), 1671
Anwar v. Fairfield Greenwich Ltd. (S.D.N.Y.), 1587
Apache Corp. v. Chevedden (S.D. Tex.), 174; 447
Apollo Group Inc. Sec. Litig., In re (9th Cir.), 1244
Appert v. Morgan Stanley Dean Witter Inc. (N.D. Ill.),
2426
Applica Inc.; NACCO Indus. Inc. v. (Del. Ch.), 31
Applied Minerals Inc., In re (SEC), 20
Archdiocese of Milwaukee Supporting Fund Inc. v.
Halliburton Co. (5th Cir.), 458
Archstone-Smith Trust; Katz v. (D. Colo.), 1594
Aris Multi-Strategy Fund LP v. Southridge Partners
LP (Del. Ch.), 1069
Arkansas Teachers Ret. Sys. v. Caiafa (Del.), 1067
Arkoma Basin Project Ltd. P'ship v. West Fork Energy
Co. LLC (5th Cir.), 1325
Arrowhead Capital Mgmt. LLC Class Litig., In re (D.
Minn.), 1014
Ashall Homes Ltd. v. ROK Entmt. Group Inc. (Del.
Ch.), 977
Ashblie; U.S. v. (D.D.C.), 121
Ashland Inc. v. Oppenheimer & Co. (E.D. Ky.), 357
Associated Fin. Group Inc.; Kelter v. (9th Cir.), 1141
Assurant Inc.; SEC v. (S.D.N.Y.), 204
Assured Guar. (UK) Ltd. v. J.P. Morgan Inv. Mgmt.
Inc. (N.Y. App. Div.), 2307
AT&T Corp.; Anderson v. (S.D.N.Y.), 908
Atlas Energy Res. LLC Unitholder Litig., In re (Del.
Ch.), 2226
Atlas One Fin. Group LLC; Lopez v. (Fla. Dist. Ct.
App.), 274
Australia & New Zealand Banking Group Ltd. Sec.
Litig., In re (S.D.N.Y.), 1056
Authenticdate Holding Corp. Sec. Litig., In re (2d Cir.),
536
Avellino; Picard v. (Bankr. S.D.N.Y.), 2397

Axcelis Techs. Inc.; Westland Police and Fire Ret.
Sys., City of v. (Del.), 1568
Axonyx Sec. Litig., In re (2d Cir.), 578
Baca v. Insight Enters. Inc. (Del. Ch.), 1217
Bachow v. Swank Energy Income Advisers LP (N.D.
Tex.), 85
Backus v. Connecticut Cmty. Bank N.A. (D. Conn.),
55
Bader v. Blankfein (U.S., rev den), 1001
Bader v. Goldman Sachs Group Inc. (E.D.N.Y.), 1938
Bader v. Winkelried (E.D.N.Y.), 1569
Badger v. Southern Farm Bureau Life Ins. Co. (11th
Cir.), 1507
BAE Sys. plc; U.S. v. (D.D.C.), 400
Baker v. Impact Holding Inc. (Del. Ch.), 1020
Bakshi v. Samuelli (C.D. Cal.), 69
Baldino; King v. (3d Cir.), 2426
Balkany; U.S. v. (S.D.N.Y.), 354
Ballas; U.S. v. (N.D. Cal.), 531
Banas; SEC v. (N.D. Ill.), 1246
Banc of Am. Sec. LLC v. Independence Tube Corp.
(N.D. Ill.), 969
Banc of Am. Sec. LLC; AIG Global Sec. Lending
Corp. v. (2d Cir.), 1414
Banc of Am. Sec. LLC; Pension Comm. of Univ. of
Montreal Pension Plan v. (S.D.N.Y.), 287
Banco Espirito Santo Intl.; BDO Seidman LLP v.
(Fla. Dist. Ct. App.), 1268
Banco Santander Sec.-Optimal Litig., In re (S.D. Fla.),
1504
Bander Family P'ship LP; Towerhill Wealth Mgmt.
LLC v. (Del. Ch.), 1170
Bank of Am., Adversary Proceeding No. 10-04331;
Lehman Bros. Special Fin. Inc. v. (Bankr.
S.D.N.Y.), 2272
Bank of Am. Corp. Sec., Derivative, and Employee
Ret. Income Sec. Act (ERISA) Litig., In re
(S.D.N.Y.), 1670
Bank of Am. Corp.; DiNapoli v. (S.D.N.Y.), 1428
Bank of Am. Corp.; New York v. (N.Y. Sup. Ct.),
246; 1644
Bank of Am. Corp.; SEC v. (S.D.N.Y.), 49; 80; 213;
261; 287; 335
Bank of Am. N.A. v. UMB Fin. Servs. Inc. (8th Cir.),
1673
Bank of Am. N.A.; Adelphia Recovery Trust v.
(S.D.N.Y.), 33
Bank of Am. Sec. LLC; Electronic Trading Group
LLC v. (U.S., rev den), 1001
Bank of Am. Sec. LLC; SFM Holdings Ltd. v. (11th
Cir.), 653
Bank of N.Y. v. First Millennium Inc. (2d Cir.), 1114
BankAtlantic Bancorp Inc. Sec. Litig., In re (S.D.
Fla.), 1672
BankAtlantic Bancorp Inc.; Hubbard v. (S.D. Fla.),
2215
Barclays Bank Plc; Lone Star Fund (U.S.) LP v. (5th
Cir.), 92
Barclays Capital Inc. v. Theflyonthewall.com Inc. (2d
Cir.), 1454
Barker; U.S. v. (S.D. Fla.), 296
Barnes & Noble Stockholder Derivative Litig., In re
(Del. Ch.), 2184
Barron v. Igolnikov (S.D.N.Y.), 526
Basis Yield Alpha Fund (Master) v. Goldman Sachs
Group Inc. (S.D.N.Y.), 1204; 1626
Battenberg; SEC v. (E.D. Mich.), 816
Bax; CML V LLC v. (Del. Ch.), 2184
BB&T Corp.; Dow Corning Corp. v. (D.N.J.), 2265
BCI Aircraft Leasing Inc.; SEC v. (7th Cir.), 1729
BDO Seidman LLP v. Banco Espirito Santo Intl. (Fla.
Dist. Ct. App.), 1268
BDO Seidman LLP v. Strategic Res. Corp. (N.Y.
App. Div.), 485
BDO Seidman LLP; Malack v. (3d Cir.), 1610
Beacon Assocs. LLC; Solis v. (S.D.N.Y.), 2005
Beacon Assocs. Mgmt. Corp. v. Beacon Assocs. LLC I
(S.D.N.Y.), 1627
Bealko, In re (CFTC), 1828

Bear Stearns & Co.; SEC v. (S.D.N.Y.), 512
 Becker; SEC v. (S.D.N.Y.), 1105; 1416; 1418
 Behrens; Lustgraaf v. (8th Cir.), 1624
 Benchmark Fin. Servs. Inc. v. FINRA (S.D.N.Y.),
 406; 522
 Bengier; SEC v. (N.D. Ill.), 410; 534
 Benhamou; SEC v. (S.D.N.Y.), 2116
 Benhamou; U.S. v. (S.D.N.Y.), 2116
 Berger v. SEC (U.S., rev den), 828
 Berrettini; SEC v. (N.D. Ill.), 687
 Berv; Halebian v. (2d Cir.), 28; (Mass.), 1641
 Betz; Trainer Wortham & Co. v. (U.S., rev den), 895;
 (9th Cir.), 1318
 Bigelow; U.S. v. (D.D.C.), 121
 Bilek; Brown v. (5th Cir.), 2213
 Binette; SEC v. (D. Mass.), 190
 Binks v. DSL.net Inc. (Del. Ch.), 932
 Bioenvision; Vladimir v. (2d Cir.), 682
 Biovail Corp.; SEC v. (S.D.N.Y.), 553; 1225
 Birks v. Park (U.S., rev den), 616
 Bishop; Raymond James Fin. Servs. Inc. v. (4th Cir.),
 459; (U.S., rev den), 1892
 Black v. U.S. (U.S., vac and rem), 1239
 Black; U.S. v. (7th Cir.), 2109
 Blackburn; U.S. v. (N.D. Ill.), 2436
 Blades v. Wisheart (Del. Ch.), 2309
 Blair; Oklahoma Dep't of Sec. v. (Okla.), 370
 Blankfein; Bader v. (U.S., rev den), 1001
 Blankfein; Hubuschman v. (S.D.N.Y.), 905
 Blankfein; Richardson v. (S.D.N.Y.), 904
 Blankfein; Rosinek v. (N.Y. Sup. Ct.), 865
 Blankfein; Spiegel v. (N.Y. Sup. Ct.), 865
 Blimline; U.S. v. (E.D. Tex.), 1669
 Blood; SEC v. (D. Ariz.), 688
 Bloomfield, In re (SEC), 850
 Blue Star Investors LLC; Picard v. (Bankr. S.D.N.Y.),
 2274
 Board of Trade of City of N.Y. Inc.; Amirsaleh v.
 (Del. Ch.), 247
 Bongiorno; SEC v. (S.D.N.Y.), 2205
 Bongiorno; U.S. v. (S.D.N.Y.), 2205
 Bonventre; SEC v. (S.D.N.Y.), 336
 Bonventre; U.S. v. (S.D.N.Y.), 336; 579
 Boston Sci. Corp. Sec. Litig., In re (D. Mass.), 897
 Boston Trading and Research LLC; SEC v. (D.
 Mass.), 2057
 Bowdoin; U.S. v. (D.D.C.), 2276
 Bradbury; San Antonio Fire & Police Pension Fund v.
 (Del. Ch.), 2225
 Braintree Labs. Inc. v. Citigroup Global Mkts. Inc.
 (1st Cir.), 1944
 Brant; Take-Two Interactive Software Inc. v.
 (S.D.N.Y.), 660
 Breard; U.S. v. (W.D. Wash.), 635
 Brewer; SEC v. (N.D. Ill.), 2173
 Brinkerhoff v. Texas Eastern Prods. Pipeline Co. LLC
 (Del. Ch.), 248
 Bristol; SEC v. (S.D.N.Y.), 2395
 Bristol; U.S. v. (S.D.N.Y.), 2395
 Brockton Contributory Ret. Sys. v. Andreessen (Cal.
 Super. Ct.), 1591
 BroCo Investments Inc.; SEC v. (S.D.N.Y.), 2335
 Brooks; Colorado v. (Colo. Dist. Ct., 19th Jud. Dist.),
 866
 Brooks; U.S. v. (E.D.N.Y.), 129
 Broom v. Morgan Stanley Dean Witter Inc. (Wash.),
 1478
 Brown Inv. Mgmt. LP; Parkcentral Global LP v.
 (Del.), 1605
 Brown v. Bilek (5th Cir.), 2213
 Brown v. Moll (N.D. Cal.), 1482; 2228
 Brown v. Moody's Investor Serv. Inc. (Cal. Super.
 Ct.), 806
 Brown; Alaska Elec. Pension Fund v. (Del.), 156
 Brown; SEC v. (D. Minn.), 747
 Brown; SEC v. (S.D.N.Y.), 1436
 Brown; U.S. v. (3d Cir.), 427
 Brown; U.S. v. (S.D.N.Y.), 1436
 Bruteyn; U.S. v. (N.D. Tex.), 748
 Buckingham Research Group Inc., In re (SEC), 2214
 Bujkovsky; SEC v. (S.D. Cal.), 1812
 Bulldog Investors Gen. P'ship v. Commonwealth
 (Mass.), 1334
 Burdick v. Villalba (W.D. Wash.), 2124
 Burr; SEC v. (6th Cir.), 1011
 Bush; Dunning v. (8th Cir.), 1811
 Business Roundtable v. SEC (D.C. Cir.), 1837; 2018;
 2277; 2394
 Butler; U.S. v. (E.D.N.Y.), 192
 Byers; SEC v. (2d Cir.), 2110
 Byers; U.S. v. (S.D.N.Y.), 746
 Cagle; Mathers Family Trust v. (Colo. Ct. App.), 1386
 Caiafia; Arkansas Teachers Ret. Sys. v. (Del.), 1067
 Cain v. Merck & Co. (N.J. Super. Ct. App. Div.), 1642
 Calamore v. Juniper Networks Inc. (9th Cir.), 273
 Caldwell; United v. (D.D.C.), 121
 California Pub. Employees' Ret. Sys. v. Moody's Corp.
 (Cal. Super. Ct.), 2424
 California v. Villalobos (Cal. Super. Ct.), 929
 Cambridge Place Inv. Mgmt. Inc. v. Morgan Stanley
 & Co. (Mass. Super. Ct.), 1384
 Campbell; U.S. v. (S.D.N.Y.), 1736
 Campo v. Sears Holding Corp. (2d Cir.), 842
 Canadian Imperial Bank of Commerce; Plumbers &
 Steamfitters Local 773 Pension Fund v. (S.D.N.Y.),
 585
 Canavan v. Harbeck (D.N.J.), 448
 Canedo; U.S. v. (S.D.N.Y.), 230
 Cangen Corp.; Park v. (Md.), 2135
 Cantens; SEC v. (S.D. Fla.), 409
 Capitalstreet Fin. LLC; CFTC v. (W.D.N.C.), 1175
 Carlson Capital LP, In re (SEC), 1864
 Carlson; Monroe County Employees Ret. Sys. v. (Del.
 Ch.), 1261
 Carollo; U.S. v. (S.D.N.Y.), 1453
 Carr; Steward Intl. Enhanced Index Fund v. (D.N.J.),
 180
 Casella v. Home Depot USA Inc. (D.N.J.), 1516
 Cash; Morgan v. (Del. Ch.), 1429
 Caxton Intl. Ltd.; Reserve Intl. Liquidity Fund Ltd. v.
 (S.D.N.Y.), 897
 CDO Plus Master Fund Ltd. v. Wachovia Bank N.A.
 (S.D.N.Y.), 1592
 Celanese Corp.; Hemphill v. (N.D. Tex.), 1245
 Centerline Holdings Co. Sec. Litig., In re (2d Cir.),
 1140
 CFO-5; SEC v. (D. Colo.), 915
 CFTC v. *See name of opposing party*
 Chadbourne & Parke LLP; GUS Consulting GMBH
 v. (N.Y. App. Div.), 1335
 Chambers; Scutieri, Estate of v. (11th Cir.), 1480
 Chaney v. Dreyfus Serv. Corp. (5th Cir.), 198
 Chapman, In re (SEC), 852
 Charbit; SEC v. (S.D. Fla.), 1953
 Charbit; U.S. v. (S.D. Fla.), 2125
 Charles Schwab & Co. v. Reaves (D. Ariz.), 306
 Charles Schwab Corp. Sec. Litig., In re (N.D. Cal.),
 466; 789
 Charles Schwab Corp.; Labins v. (N.D. Cal.), 629
 Charter Township of Clinton Police and Fire Ret. Sys.
 v. KKR Fin. Holdings LLC (S.D.N.Y.), 2351
 Chattanooga, City of v. Hartford Life Ins. Co. (D.
 Conn.), 187
 Chevedden; Apache Corp. v. (S.D. Tex.), 174; 447
 Chiaese; SEC v. (D.N.J.), 1909
 Chiaese; U.S. v. (D.N.J.), 1909
 Chiesi; U.S. v. (S.D.N.Y.), 188
 Chimay Capital Mgmt. Inc.; SEC v. (S.D.N.Y.), 1206
 Chiumenta Group v. Operating Local 649 Annuity
 Trust Fund (2d Cir.), 284
 Chodan; U.S. v. (S.D. Tex.), 2336
 Chu; Hale v. (7th Cir.), 1549
 Chu; U.S. v. (S.D.N.Y.), 2257
 Citigroup Global Mkts. Inc. v. VCG Special
 Opportunities Master Fund Ltd. (2d Cir.), 444
 Citigroup Global Mkts. Inc.; Braintree Labs. Inc. v.
 (1st Cir.), 1944
 Citigroup Inc. Bond Litig., In re (S.D.N.Y.), 1363
 Citigroup Inc. Sec. Litig., In re (S.D.N.Y.), 2209
 Citigroup Inc.; Epirus Capital Mgmt. LLC v.
 (S.D.N.Y.), 907
 Citigroup Inc.; SEC v. (D.D.C.), 1447; 1581; 1723;
 1941
 Citigroup Inc.; Terra Firma Investments (GP) 2 Ltd. v.
 (S.D.N.Y.), 1811; 2173
 Citigroup Inc.; Terra Sec. ASA Konkursbo v.
 (S.D.N.Y.), 304
 Citigroup; SEC v. (D.D.C.), 1863
 Citizens for Responsibility and Ethics in Wash. v. SEC
 (D.D.C.), 48
 City of *See name of city*
 CLAL Fin. Batucha Inv. Mgmt. Ltd. v. Perrigo Co.
 (S.D.N.Y.), 2032
 Clarion Sintered Metals Inc.; Lindberg v. (W.D. Pa.),
 745
 Clark; SEC v. (N.D. Cal.), 468
 Claus; Institutional Capital Mgmt. Inc. v. (5th Cir.),
 299
 Cleantech Biofuels Inc.; Altabet v. (D. Nev.), 1464
 ClearOne Communications Inc.; Flood v. (10th Cir.),
 1725
 Cleveland, City of v. Ameriquest Mortgage Sec. Inc.
 (6th Cir.), 1478
 Cloud; CFTC v. (S.D. Tex.), 492
 CML V LLC v. Bax (Del. Ch.), 2184
 CNX Gas Corp. Shareholders Litig., In re (Del. Ch.),
 1116; 1333; 1383
 Cocchiola; U.S. v. (3d Cir.), 8
 Cogent Inc. Sec. Litig., In re (Del. Ch.), 2025; (Del.),
 2025
 Cohen v. J.P. Morgan Chase & Co. (S.D.N.Y.), 2371
 Cohen v. Stevanovich (S.D.N.Y.), 1420
 Cohen; SEC v. (S.D. Cal.), 2334
 Cohen; U.S. v. (D.D.C.), 121
 Cohmad Sec. Corp.; SEC v. (S.D.N.Y.), 219; 2113
 Coleman v. Orgel (2d Cir.), 2110
 Collins & Aikman Corp.; SEC v. (S.D.N.Y.), 816
 Collins; SEC v. (S.D.N.Y.), 293; 1201
 Collins; U.S. v. (S.D.N.Y.), 186; 604
 Colonial Inv. Mgmt. LLC; SEC v. (2d Cir.), 1202
 Colorado v. Brooks (Colo. Dist. Ct., 19th Jud. Dist.),
 866
 Commonwealth v. *See name of opposing party*
 Converse Tech. Inc. Derivative Litig., In re
 (E.D.N.Y.), 37; (N.Y. Sup. Ct.), 37
 ConAgra Trade Group Inc., In re (CFTC), 1608
 Conaway; SEC v. (E.D. Mich.), 427; 2233
 Condra v. PXRE Group Ltd. (2d Cir.), 8
 Condroyer; SEC v. (N.D. Ga.), 5
 Connecticut Cmty. Bank N.A.; Backus v. (D. Conn.),
 55
 Connecticut v. McGraw-Hill Cos. (D. Conn.), 485;
 (Conn. Super. Ct.), 1643
 Connors; Hamilton v. (9th Cir.), 1341
 Continental Cas. Co. v. PricewaterhouseCoopers LLP
 (N.Y.), 1306
 Contorinis; U.S. v. (S.D.N.Y.), 1902; 2405
 Cook; CFTC v. (D. Minn.), 254
 Cook; SEC v. (D. Minn.), 188
 Cook; U.S. v. (D. Minn.), 658; 747
 Coon v. Gilbert (Mich. Ct. App.), 372
 Cooper; CFTC v. (D. Haw.), 1698
 Cooper; SEC v. (D. Haw.), 1698
 Cooperatieve Centrale Raiffeisen-Boerenleenbank
 B.A. v. Merrill Lynch & Co. (N.Y. Sup. Ct.), 806
 Copeland v. Fortis (S.D.N.Y.), 406
 Coppinger-Martin v. Solis (9th Cir.), 2256
 Corbin; U.S. v. (S.D.N.Y.), 1370; 2115
 Cornerstone Capital Mgmt. Inc., In re (CFTC), 1924
 Cornwell v. Credit Suisse Group (S.D.N.Y.), 1551
 Corus Bankshares Inc.; Jones v. (N.D. Ill.), 767
 CorVel Enter. Comp Inc. v. Schaffer (Del. Ch.), 1219
 Costello v. Grundon (7th Cir.), 2004
 Countrywide Fin. Corp. Sec. Litig., In re (C.D. Cal.),
 964; 1522
 Countrywide Fin. Corp.; Greenwich Fin. Servs.
 Distressed Mortgage Fund 3 LLC v. (2d Cir.), 790;
 (N.Y. Sup. Ct.), 2025

Countrywide Fin. Corp.; SRM Global Fund LP v. (S.D.N.Y.), 1251

Cox Radio Inc. Shareholders Litig., In re (Del. Ch.), 1019

Coyne v. General Elec. Co. (D. Conn.), 1435

Crawford v. Silette (5th Cir.), 1167

Credit Agricole Corporate and Inv. Bank; Loreley Fin. (Jersey) No. 7 v. (N.Y. Sup. Ct.), 2060

Credit Bancorp Ltd.; SEC v. (S.D.N.Y.), 464; 1813

Credit-Based Asset Servicing & Securitization LLC; Iron Workers Local 25 Pension Fund v. (S.D.N.Y.), 631

Credit Suisse Group; Cornwell v. (S.D.N.Y.), 1551

Credit Suisse Sec. (USA) LLC v. Investment Hunter LLC (Del. Ch.), 1216

Credit Suisse Sec. (USA) LLC; Federal Home Loan Bank of San Francisco v. (Cal. Super. Ct.), 582

Credit Suisse Sec. (USA) LLC; Simmonds v. (9th Cir.), 2254

Credit Suisse Sec. (USA) LLC; STMicroelectronics N.V. v. (S.D.N.Y.), 587

Cripps; U.S. v. (E.D. Cal.), 2263

Crittenden, In re (SEC), 1448

Crosskill Inc. v. Gabriel Capital LP (S.D.N.Y.), 1512

Crossroads Fin. Planning Inc.; SEC v. (S.D. Ohio), 16

Crown EMAK Partners LLC v. Kurz (Del.), 805

Crupi; SEC v. (S.D.N.Y.), 2205

CS Assets LLC v. West Beach LLC (11th Cir.), 652

Cuban v. SEC (D.D.C.), 122; 290; 1783; 2160; 2259; 2394

Cuban; SEC v. (5th Cir.), 178; 625; 729; 1203; 1782; (N.D. Tex.), 625; 783

Cuomo v. Ivy Asset Mgmt. LLC (N.Y. Sup. Ct.), 976

Cuomo v. Merkin (N.Y. Sup. Ct.), 319; 2026

Cuomo v. Morris (N.Y. Sup. Ct.), 2202

Curshen; SEC v. (10th Cir.), 735

Custable; SEC v. (N.D. Ill.), 685

Cuti; U.S. v. (S.D.N.Y.), 1177

Cutillo; SEC v. (S.D.N.Y.), 635

Cutillo; U.S. v. (S.D.N.Y.), 191

Czarnik; SEC v. (S.D.N.Y.), 2350

Dagong Global Credit Rating Co., In re (SEC), 1898

Daimler AG; SEC v. (D.D.C.), 580

Daimler AG; U.S. v. (D.D.C.), 581

Dairy Farmers of Am. Inc.; Anderson v. (D. Minn.), 701

Dale; Kilcher v. (Minn. Ct. App.), 1429

Dalton; SEC v. (D. Colo.), 2264

D&J Tire Inc. v. Hercules Tire & Rubber Co. (5th Cir.), 423

Dannelly; U.S. v. (E.D. Tex.), 549

Das; SEC v. (D. Neb.), 534

Davis; SEC v. (D.D.C.), 1700

Dawson; CFTC v. (N.D. Ill.), 1486

Day v. Stascavage (Colo. Ct. App.), 2227

de Sousa; CFTC v. (S.D. Fla.), 2374

Dechert LLP; Fortress Credit Corp. v. (N.Y. Sup. Ct.), 32

Defer LP v. Raymond James Fin. Servs. Inc. (S.D.N.Y.), 1716

DeGennaro, In re (SEC), 709

DeGennaro; SEC v. (E.D.N.Y.), 709

Dell Inc.; SEC v. (D.D.C.), 1433; 1989

Deloitte LLP v. Flanagan (Del. Ch.), 60

Demings v. Nationwide Life Ins. Co. (6th Cir.), 231

Department of *See name of department*

DeRosa; U.S. v. (N.D. Cal.), 877

Detroit Gen. Ret. Sys. v. Medtronic Inc. (8th Cir.), 1804

Deutsche Bank AG; E*Trade Fin. Corp. v. (2d Cir.), 654

Deutsche Bank AG; Oppenheimer & Co. v. (S.D.N.Y.), 460

Deutsche Bank Sec. Inc.; Anschutz Corp. v. (N.D. Cal.), 794

Deutsche Bank Sec. Inc.; Federal Home Loan Bank of San Francisco v. (Cal. Super. Ct.), 582

DHB Indus. Inc. Derivative Litig., In re (2d Cir.), 1838

Di Nardo; SEC v. (S.D.N.Y.), 1959

Diamond; U.S. v. (M.D. Fla.), 20

DiBella; SEC v. (D. Conn.), 965

Dichter-Mad Family Partners LLP v. SEC (C.D. Cal.), 848

Dickau; U.S. v. (2d Cir.), 2110

Diebold Inc.; Konkol v. (6th Cir.), 68

Diebold Inc.; SEC v. (D.D.C.), 1119

DiNapoli v. Bank of Am. Corp. (S.D.N.Y.), 1428

DiNapoli v. Merrill Lynch & Co. (S.D.N.Y.), 1428

DiPlacido v. CFTC (U.S., rev den), 602

Disraeli; SEC v. (U.S., rev den), 580

Dizona; CFTC v. (5th Cir.), 158

Dodona I LLC v. Goldman Sachs & Co. (S.D.N.Y.), 1902

Dohan + Co. CPAs, In re (SEC), 1572

Dokich; U.S. v. (7th Cir.), 1413

Dollar Thrifty S'holder Litig., In re (Del. Ch.), 1756

Donnelly; CFTC v. (W.D. Va.), 657

Donovan; Mackay v. (E.D. Pa.), 2055

Dooley; U.S. v. (N.D. Ill.), 869

Dorozhko; SEC v. (S.D.N.Y.), 584

Douglas v. Stamco (2d Cir.), 249

Dow Chem. Co. Derivative Litig., In re (Del. Ch.), 155

Dow Corning Corp. v. BB&T Corp. (D.N.J.), 2265

Dragon; SEC v. (S.D. Cal.), 1107

Dreyfus Serv. Corp.; Chaney v. (5th Cir.), 198

Drimal; U.S. v. (S.D.N.Y.), 191

DSL.net Inc.; Binks v. (Del. Ch.), 932

Duane Morris LLP; Guido v. (N.J.), 1169

Dubinsky v. Mermart LLC (8th Cir.), 322

Dubroff v. Wren Holdings Inc. (Del. Ch.), 1694

Dunning v. Bush (8th Cir.), 1811

Durmaz; SEC v. (C.D. Cal.), 463

eBay Domestic Holdings Inc. v. Newmark (Del. Ch.), 1758

Edward J. Goodman Life Income Trust v. Jabil Circuit Inc. (11th Cir.), 123

Eisler, In re (SEC), 411

EKC Grantor Trusts 2000; U.S. v. (S.D.N.Y.), 968

Electronic Trading Group LLC v. Bank of Am. Sec. LLC (U.S., rev den), 1001

Elkin; SEC v. (D.D.C.), 849

Elkin; U.S. v. (W.D. Va.), 1505

Elkinson; U.S. v. (D. Mass.), 64

Energy Transfer Partners LP; Hershey v. (5th Cir.), 1263

Energy Transfer Partners LP; Rio Grande Co. v. (5th Cir.), 1767

ENI S.p.A.; SEC v. (S.D. Tex.), 1322

Epirus Capital Mgmt. LLC v. Citigroup Inc. (S.D.N.Y.), 907

Epstein v. SEC (3d Cir.), 2282

Epstein; SEC v. (S.D. Fla.), 1953

Erdman; CFTC v. (N.D. Ill.), 1487

Erica P. John Fund Inc. v. Halliburton Co. (U.S., rev sought), 1892; (amicus curiae brief), 2327

Ernst & Young LLP; Louisiana Sch. Employees' Ret. Sys. v. (6th Cir.), 1830

Estate of *See name of party*

E*Trade Fin. Corp. v. Deutsche Bank AG (2d Cir.), 654

E*Trade Fin. Corp.; Freudenberg v. (S.D.N.Y.), 1203

European Aeronautic Defence & Space Co. Sec. Litig., In re (S.D.N.Y.), 732

European Comm'n; Akzo Nobel Chemicals Ltd. v. (EU, ECJ), 1801

Express Scripts Inc. Sec. Litig., In re (E.D. Mo.), 1327

Facciola v. Greenberg Traurig LLP (D. Ariz.), 976

Fairfield Greenwich Ltd.; Anwar v. (S.D.N.Y.), 1587

Fairfield Sentry Ltd. v. RBC Dominion Sec. Corp. (Bankr. S.D.N.Y.), 1587

Fairfield Sentry Ltd.; Picard v. (Bankr. S.D.N.Y.), 1415

Fait v. Regions Fin. Corp. (S.D.N.Y.), 981

Family Mgmt. Corp.; Newman v. (S.D.N.Y.), 2054

Far, In re (SEC), 1008

Farah; SEC v. (D.N.H.), 748

Farahi; SEC v. (C.D. Cal.), 87

Farkas; SEC v. (E.D. Va.), 1200

Farkas; U.S. v. (M.D. Fla.), 1200

Faulkenberry; U.S. v. (6th Cir.), 1452

Favara; U.S. v. (7th Cir.), 1546

Febles v. S&G Investco Inc. (S.D. Fla.), 1486

Federal Bus. Ctrs. Inc.; Sery v. (3d Cir.), 371

Federal Home Loan Bank of San Francisco v. Credit Suisse Sec. (USA) LLC (Cal. Super. Ct.), 582

Federal Home Loan Bank of San Francisco v. Deutsche Bank Sec. Inc. (Cal. Super. Ct.), 582

Federal Republic of Germany; Mortimer Off Shore Servs. Ltd. v. (2d Cir.), 1457

Federal Republic of Germany; World Holdings LLC v. (11th Cir.), 1532

Fidelity Mgmt. & Research Co.; Zang v. (D. Mass.), 686

Fiduciary Trust Co. Intl.; Fraser v. (2d Cir.), 1945

1545 Ocean Ave. LLC, In re (N.Y. App. Div.), 271

Fifth Third Bank N.A.; Segal v. (U.S., rev den), 1045

Financial Indus. Regulatory Auth. *See* FINRA

Financial Investments Inc.; CFTC v. (E.D. Va.), 492

FINRA; Amerivest Sec. Inc. v. (D.C. Super. Ct.), 2138; 2425

FINRA; Benchmark Fin. Servs. Inc. v. (S.D.N.Y.), 406; 522

FINRA; Pyramid Fin. Corp. v. (N.D. Cal.), 1666

FINRA; Standard Inv. Chartered Inc. v. (S.D.N.Y.), 14; 291; 406; 522

First Allied Sec. Inc., In re (SEC), 409

First Derivative Traders; Janus Capital Group Inc. v. (U.S., rev sought), 81; (rev grant), 1281; (amicus brief filed), 1810; 2160; 2185; (oral arg), 2326

First Millennium Inc.; Bank of N.Y. v. (2d Cir.), 1114

First N.Y. Sec. Inc. v. United Rentals Inc. (2d Cir.), 1665

First Trust Advisors LP; Gosselin v. (N.D. Ill.), 14

Fisher; SEC v. (N.D. Ill.), 1488

Flanagan, In re (SEC), 1520

Flanagan; Deloitte LLP v. (Del. Ch.), 60

Flanagan; SEC v. (N.D. Ill.), 1520

Flannery, In re (SEC), 1907

Fletcher Intl. Ltd. v. ION Geophysical Corp. (Del. Ch.), 1168

Flood v. ClearOne Communications Inc. (10th Cir.), 1725

Flood; U.S. v. (D. Utah), 817

Flynn v. McDaniel (S.D.N.Y.), 352

FMR LLC; Lawson v. (D. Mass.), 686

Fogel; SEC v. (D. Mass.), 184

Foley; SEC v. (D.D.C.), 411

Forbes; SEC v. (D.N.J.), 70; 1120

Forté; SEC v. (E.D. Pa.), 626

Fortis; Copeland v. (S.D.N.Y.), 406

Fortress Credit Corp. v. Dechert LLP (N.Y. Sup. Ct.), 32

Fortress Credit Corp. v. Ruskin Moscou Faltischek P.C. (N.Y. Sup. Ct.), 1417

Fosbre v. Las Vegas Sands Corp. (D. Nev.), 1733

Fosbre v. Matthews (D. Nev.), 1335

Fox News Network LLC v. SEC (S.D.N.Y.), 48

Franklin/Templeton Distributors Inc.; Smith v. (N.D. Cal.), 1199; 2055

Fraser v. Fiduciary Trust Co. Intl. (2d Cir.), 1945

Free Enter. Fund v. Public Co. Accounting Oversight Bd. (U.S.), 1303

Freudenberg v. E*Trade Fin. Corp. (S.D.N.Y.), 1203

Friedman v. Quest Energy Partners LP (W.D. Okla.), 12

Friend; Hertz Corp. v. (U.S., vac and rem), 342

Frommer; U.S. v. (C.D. Cal.), 2267

Froning; SEC v. (N.D. Tex.), 1548

Frucher; McGowan Investors LP v. (3d Cir.), 1606

Frucher; PennMont Sec. v. (U.S., rev den), 394

FTC Capital Mkts. Inc.; SEC v. (S.D.N.Y.), 1674

Fuld; New Jersey Dep't of Treasury, Div. of Inv. v. (3d Cir.), 1012

Fulton County Employees' Ret. Sys. v. MGIC Inv. Corp. (E.D. Wis.), 457

Furst; SEC v. (S.D. Tex.), 982

Fusion Capital Fund II LLC v. Ham (7th Cir.), 1517

Gabelli Global Multimedia Trust Inc. v. Western Inv. LLC (D. Md.), 672

Gabelli; SEC v. (S.D.N.Y.), 538

Gabriel Capital LP; Crosskill Inc. v. (S.D.N.Y.), 1512

Gage, In re (CFTC), 1432

Gallardo; CFTC v. (C.D. Cal.), 1879

Galleon Mgmt. LP; SEC v. (S.D.N.Y.), 120; 178; 215; 260; 681; 850; 1012; 2120; 2170; (2d Cir.), 576

Gallus; Ameriprise Fin. Inc. v. (U.S., vac and rem), 677

Galpern; SEC v. (S.D. Fla.), 1953

Galpern; U.S. v. (S.D. Fla.), 1953

Gane; U.S. v. (W.D.N.Y.), 2268

Gann v. SEC (5th Cir.), 124

Gansman; SEC v. (S.D.N.Y.), 1613

Gansman; U.S. v. (S.D.N.Y.), 269

Garcia; SEC v. (N.D. Ill.), 1625

Garland v. Roy (5th Cir.), 1590

Garofalo; CFTC v. (N.D. Ill.), 869

Gauzza; SAL Fin. Servs. Inc. v. (Fla. Dist. Ct. App.), 1385

Gebhart v. SEC (9th Cir.), 302; (U.S., rev den), 1240

General Elec. Co.; Coyne v. (D. Conn.), 1435

General Elec. Co.; SEC v. (D.D.C.), 1450

General Reinsurance Corp.; SEC v. (S.D.N.Y.), 160

Geri; U.S. v. (D.D.C.), 121

Germaine Tomlinson Ins. Trust; American Gen. Life Ins. Co. v. (S.D. Ind.), 1454

Gerstner v. Sebig LLC (8th Cir.), 1413

Geswein; SEC v. (N.D. Ohio), 1119

Ghavami; U.S. v. (S.D.N.Y.), 2339

Ghysels, In re (SEC), 1810

Giffen; U.S. v. (S.D.N.Y.), 1550

Gilbert; Coon v. (Mich. Ct. App.), 372

Godbole, In re (SEC), 2269

Godsey; U.S. v. (D.D.C.), 121

Goel; U.S. v. (S.D.N.Y.), 269

Goffer; U.S. v. (S.D.N.Y.), 191; 2270

Goldfarb; U.S. v. (S.D.N.Y.), 191

Goldman Sachs & Co.; Dodona I LLC v. (S.D.N.Y.), 1902

Goldman Sachs & Co.; Landesbank Baden-Wuerttemberg v. (S.D.N.Y.), 1901

Goldman Sachs & Co.; NECA-IBEW Health & Welfare Fund v. (S.D.N.Y.), 2015

Goldman Sachs & Co.; SEC v. (S.D.N.Y.), 720; 1242; 1361; 1404; 1901; 1938; 2123

Goldman Sachs & Co.; Tomlinson v. (N.D. Ill.), 34

Goldman Sachs Execution & Clearing LP, In re (SEC), 891

Goldman Sachs Execution & Clearing LP v. Official Unsecured Creditors' Comm. of Bayou Group LLC (S.D.N.Y.), 1548; 2166; 2262

Goldman Sachs Group Inc. Derivative Litig., In re (N.Y. Sup. Ct.), 1607

Goldman Sachs Group Inc.; Bader v. (E.D.N.Y.), 1938

Goldman Sachs Group Inc.; Basis Yield Alpha Fund (Master) v. (S.D.N.Y.), 1204; 1626

Goldman Sachs Group Inc.; Richman v. (S.D.N.Y.), 831

Goldman Sachs Group Inc.; Sorokin v. (S.D.N.Y.), 831

Goldstein v. QVT Assocs. GP LLC (S.D.N.Y.), 2008

Goncalves; U.S. v. (D.D.C.), 121

Gordon; SEC v. (N.D. Okla.), 2341

Gordon; U.S. v. (N.D. Okla.), 911; 2172

Gosselin v. First Trust Advisors LP (N.D. Ill.), 14

Gowrish; SEC v. (N.D. Cal.), 1010

Goyal; U.S. v. (9th Cir.), 2435

Grabler, In re (SEC), 957

Grabler; SEC v. (D. Mass.), 957

Grant Thornton LLP v. Prospect High Income Fund (Tex.), 1343

Grassi v. Moody's Investor Serv. Inc. (E.D. Cal.), 628

Green; U.S. v. (S.D.N.Y.), 965

Greenberg Traurig LLP; Facciola v. (D. Ariz.), 976

Greenberg, In re (SEC), 1863

Greenberg; People v. (N.Y. Sup. Ct.), 2074

Greenstone Holdings Inc.; SEC v. (S.D.N.Y.), 298

Greenwich Fin. Servs. Distressed Mortgage Fund 3 LLC v. Countrywide Fin. Corp. (2d Cir.), 790; (N.Y. Sup. Ct.), 2025

Greenwood; CFTC v. (S.D.N.Y.), 1464

Greenwood; U.S. v. (S.D.N.Y.), 1464

Greudys; SEC v. (D.D.C.), 434

Griggs v. Jornayvaz (D. Colo.), 2352

Griggs; CFTC v. (D. Haw.), 2143

Grose; U.S. v. (W.D. Okla.), 381

Grubman; Holmes v. (Ga.), 318; (2d Cir.), 1258; (U.S., rev den), 2365

Grundon; Costello v. (7th Cir.), 2004

Gryphon Holdings, Inc.; SEC v. (E.D.N.Y.), 792

GTF Enters. Inc.; SEC v. (S.D.N.Y.), 1047

Guidant Corp.; Johnson & Johnson v. (S.D.N.Y.), 369

GuideOne Mut. Ins. Co.; World Harvest Church Inc. v. (Ga.), 930

Guido v. Duane Morris LLP (N.J.), 1169

Gupta; SEC v. (D. Neb.), 534

GUS Consulting GMBH v. Chadbourne & Parke LLP (N.Y. App. Div.), 1335

Guttenberg; SEC v. (S.D.N.Y.), 48

Hale v. Chu (7th Cir.), 1549

Halebian v. Berv (2d Cir.), 28; (Mass.), 1641

Hall; U.S. v. (D.C. Cir.), 1319

Halliburton Co.; Archdiocese of Milwaukee Supporting Fund Inc. v. (5th Cir.), 458

Halliburton Co.; Erica P. John Fund Inc. v. (U.S., rev sought), 1892; (amicus curiae brief), 2327

Halvorsen; Olson v. (Del.), 27

Ham; Fusion Capital Fund II LLC v. (7th Cir.), 1517

Hamilton v. Conners (9th Cir.), 1341

Hanover Direct Inc. Shareholders Litig., In re (Del. Ch.), 2186

Hansen; SEC v. (E.D. Pa.), 1861

Hansen; U.S. v. (S.D.N.Y.), 1861

Harbeck; Canavan v. (D.N.J.), 448

Hardin; SEC v. (S.D.N.Y.), 2206

Harding Inc. Sec. Litig., In re (W.D.N.Y.), 298

Hariri; U.S. v. (S.D.N.Y.), 391; 2171

Harper Woods Employees' Ret. Sys., City of v. Olver (D.C. Cir.), 11

Harriett; U.S. v. (N.D. Ohio), 1014

Harris Assocs. LP; Jones v. (U.S.), 614

Harris; CFTC v. (N.D. Ohio), 1983

Harrison; SEC v. (W.D.N.C.), 2405

Hartford Life Ins. Co.; Chattanooga, City of v. (D. Conn.), 187

Hassinger v. J.P. Morgan Chase & Co. (5th Cir.), 1664

Hatfield; U.S. v. (E.D.N.Y.), 1244

Hatfield; U.S. v. (N.D. Cal.), 203

Hayward; Southeastern Pa. Transp. Auth. v. (Del. Ch.), 1069

Healey Alternative Inv. P'ship v. Royal Bank of Canada (D.N.J.), 2367

HealthRight Partners LP; Lincoln Fin. Advisors Corp. v. (D. Utah), 227

Heath v. SEC (U.S., rev den), 678

Heckler, In re (SEC), 540

Hemphill v. Celanese Corp. (N.D. Tex.), 1245

Hercules Tire & Rubber Co.; D&J Tire Inc. v. (5th Cir.), 423

Hershey v. Energy Transfer Partners LP (5th Cir.), 1263

Hershey; Pacific Inv. Mgmt. Co. LLC v. (U.S., rev den), 375; (N.D. Ill.), 603

Hertz Corp. v. Friend (U.S., vac and rem), 342

Hertz; U.S. v. (S.D.N.Y.), 2274

Hevesi; New York v. (N.Y. Sup. Ct.), 1976

Highland Capital Mgmt. LP v. RBC Dominion Sec. Corp. (2d Cir.), 1337

Highland Capital Mgmt. LP v. Schneider (2d Cir.), 1220

Hodgson; U.S. v. (S.D.N.Y.), 1866; 2353

Hofer; AnchorBank FSB v. (W.D. Wis.), 528; 1797

Holbrook; Kurz v. (Del. Ch.), 317

Holmes v. Grubman (Ga.), 318; (2d Cir.), 1258; (U.S., rev den), 2365

Holzer, In re (N.Y. App. Div.), 62

Home Depot USA Inc.; Casella v. (D.N.J.), 1516

Honea; Raymond James Fin. Servs. Inc. v. (Ala.), 1259

Horn; SEC v. (N.D. Ill.), 299

Howard; U.S. v. (N.D. Ill.), 1862

Hoxie; U.S. v. (S.D.N.Y.), 1074; 1804

HSBC Holdings Inc.; Picard v. (Bankr. S.D.N.Y.), 2344

Hubbard v. BankAtlantic Bancorp Inc. (S.D. Fla.), 2215

Hubuschman v. Blankfein (S.D.N.Y.), 905

Huff; U.S. v. (S.D. Fla.), 1488

Humphries; U.S. v. (S.D. Fla.), 1953

IAC/InteractiveCorp. Sec. Litig., In re (S.D.N.Y.), 584

Ibrahim; U.S. v. (N.D. Ill.), 2216

ICAP Sec. USA LLC, In re (SEC), 22

Igolnikov; Barron v. (S.D.N.Y.), 526

IKB Industriebank AG; King County, Wash. v. (S.D.N.Y.), 1055; 2137

Imhoff; SEC v. (D.D.C.), 1700

Impact Mortgage Holdings Inc.; Sharenow v. (9th Cir.), 1286

Impact Holding Inc.; Baker v. (Del. Ch.), 1020

Imperia Invest IBC; SEC v. (D. Utah), 1959

In re *See name of party*

Independence Tube Corp.; Banc of Am. Sec. LLC v. (N.D. Ill.), 969

Indiana v. Indiana State Teachers Ass'n (S.D. Ind.), 931

Indigenous Global Dev. Corp.; SEC v. (9th Cir.), 2111

Innospec Inc.; SEC v. (D.D.C.), 538

Innospec Inc.; U.S. v. (D.D.C.), 538

Innovative Advisory Servs. Inc.; SEC v. (C.D. Cal.), 748

InsCap Asset Mgmt. LLC; ORIX LF LP v. (Del. Ch.), 808

Insight Enters. Inc.; Baca v. (Del. Ch.), 1217

Institutional Capital Mgmt. Inc. v. Claus (5th Cir.), 299

Integrity Fin. AZ LLC; SEC v. (N.D. Ohio), 743

Intelligent Digital Sys. LLC v. Visual Mgmt. Sys. Inc. (E.D.N.Y.), 267

International Commercial Television Inc.; SEC v. (W.D. Wash.), 1572

International Commodity Advisors, In re (NFA), 2232

International Swaps and Derivatives Ass'n v. Socratek Inc. (S.D.N.Y.), 936

Investment Hunter LLC; Credit Suisse Sec. (USA) LLC v. (Del. Ch.), 1216

ION Geophysical Corp.; Fletcher Intl. Ltd. v. (Del. Ch.), 1168

Iowa Pub. Employees' Ret. Sys. v. MF Global Ltd. (2d Cir.), 1731

Iron Workers Local 25 Pension Fund v. Credit-Based Asset Servicing & Securitization LLC (S.D.N.Y.), 631

Iron Workers Local 25 Pension Fund v. Oshkosh Corp. (E.D. Wis.), 707

Ivy Asset Mgmt. Corp.; Schott v. (N.D. Cal.), 2114

Ivy Asset Mgmt. LLC; Cuomo v. (N.Y. Sup. Ct.), 976

Jabil Circuit Inc.; Edward J. Goodman Life Income Trust v. (11th Cir.), 123

Jack Nelson IRA; McArdle v. (Tex. Ct. App.), 699

Jackson; CFTC v. (N.D. Cal.), 1265

Jacobson; SEC v. (D. Idaho), 20

James; LC Capital Master Fund Ltd. v. (Del. Ch.), 597

Janiga v. Questar Capital Corp. (7th Cir.), 1506; (U.S., rev den), 2391

Jantzen; SEC v. (W.D. Tex.), 1907

Janus Capital Group Inc. v. First Derivative Traders (U.S., rev sought), 81; (rev grant), 1281; (amicus brief filed), 1810; 2160; 2185; (oral arg), 2326

Janvey v. Alguire (5th Cir.), 2398

Jaschke; SEC v. (M.D. Fla.), 13

Jasper; SEC v. (N.D. Cal.), 872

Jaspers v. Prime Vest Fin. Servs. Inc. (D. Minn.), 1693

Jenkins; SEC v. (D. Ariz.), 730; 793; 1136; 1510; 1803; 2112
Jents v. Quest Res. Corp. (W.D. Okla.), 12
JER Snowmass LLC; Related Westpac LLC v. (Del. Ch.), 1757
Jobe; SEC v. (N.D. Tex.), 1865
Jobs; New York City Employees' Ret. Sys. v. (9th Cir.), 225
Johnson & Johnson v. Guidant Corp. (S.D.N.Y.), 369
Johnson, In re (CFTC), 1923
Johnson; U.S. v. (4th Cir.), 1393
Jones Soda Co. Sec. Litig., In re (9th Cir.), 1665
Jones v. Corus Bankshares Inc. (N.D. Ill.), 767
Jones v. Harris Assocs. LP (U.S.), 614
Jornayvaz; Griggs v. (D. Colo.), 2352
J.P. Morgan Chase & Co.; Cohen v. (S.D.N.Y.), 2371
J.P. Morgan Chase & Co.; Hassinger v. (5th Cir.), 1664
J.P. Morgan Chase & Co.; MLSMK Investments Co. v. (S.D.N.Y.), 1418
J.P. Morgan Chase & Co.; Picard v. (Bankr. S.D.N.Y.), 2273
J.P. Morgan Chase Bank N.A.; Alden Global Distressed Opportunities Fund LP v. (N.Y. Sup. Ct.), 2118
J.P. Morgan Chase Bank N.A.; Racepoint Partners LLC v. (N.Y.), 687
J.P. Morgan Inv. Mgmt. Inc.; Assured Guar. (UK) Ltd. v. (N.Y. App. Div.), 2307
J.P. Morgan SBIC LLC; One Communications Corp. v. (2d Cir.), 1269
J.P. Morgan Sec. Inc. v. Louisiana Citizens Prop. Inc. Corp. (S.D.N.Y.), 966
J.P. Morgan Sec. Inc.; Levitt v. (E.D.N.Y.), 1284
Julian v. Julian (Del. Ch.), 699
Jumet; U.S. v. (E.D. Va.), 793
Juniper Networks Inc.; Calamore v. (9th Cir.), 273

Kagan v. Wachovia Sec. LLC (N.D. Cal.), 1369
Kaiser; U.S. v. (S.D.N.Y.), 107; (2d Cir.), 1342
K&L Intl. Enters. Inc.; SEC v. (M.D. Fla.), 1669
Kang; U.S. v. (D.S.C.), 433
Kaplan; Libaire v. (2d Cir.), 1908
Karatz; U.S. v. (C.D. Cal.), 814
Karlis; U.S. v. (D. Mass.), 2057
Karten v. Woltin (Fla. Dist. Ct. App.), 63
Kashner Davidson Sec. Corp. v. Mscisz (1st Cir.), 682
Katz v. Archstone-Smith Trust (D. Colo.), 1594
Kazacos; Romano v. (2d Cir.), 1285
Kear; CFTC v. (N.D. Tex.), 2078
Kearns; SEC v. (D.N.J.), 432
Keating; SEC v. (D. Utah), 964
Keefe, In re (SEC), 88
Kelter v. Associated Fin. Group Inc. (9th Cir.), 1141
Khan; SEC v. (N.D. Ga.), 1734
Kilcher v. Dale (Minn. Ct. App.), 1429
Kim v. Parklane Inc. (D.N.J.), 483
Kimelman; U.S. v. (S.D.N.Y.), 191
King County, Wash. v. IKB Industriebank AG (S.D.N.Y.), 1055; 2137
King v. Baldino (3d Cir.), 2426
King v. VeriFone Holdings Inc. (Del. Ch.), 1068
Kircher v. Putnam Funds Trust (Ill. App. Ct.), 98
Kirschner v. KPMG LLP (2d Cir.), 6; 2224; (N.Y.), 1483; 2024
Kirschner; Oster v. (N.Y. App. Div.), 1336
Kirschner; U.S. v. (11th Cir.), 1734
KKR Fin. Holdings LLC; Charter Township of Clinton Police and Fire Ret. Sys. v. (S.D.N.Y.), 2351
Kliner; Sutton Bank v. (N.D. Ohio), 741
Klopfenstein v. Labor, Dep't of (5th Cir.), 1409
Kogan; CFTC v. (W.D. Mo.), 491
Kohn; Picard v. (Bankr. S.D.N.Y.), 2397
Kolchinsky v. Moody's Corp. (S.D.N.Y.), 1795
Konigsberg; SEC v. (S.D. Fla.), 2344
Konigsberg; U.S. v. (S.D. Fla.), 2344
Koninklijke Philips Elec. NV; Vodopia v. (2d Cir.), 2063
Konkol v. Diebold Inc. (6th Cir.), 68

KOR Elecs.; Alexander v. (Cal. Super. Ct.), 486
Korem; U.S. v. (S.D. Fla.), 1953; 2271
Kornfeld v. Kornfeld (10th Cir.), 1666
Kornman v. SEC (D.C. Cir.), 124
Kovachev; U.S. v. (S.D.N.Y.), 631
KPMG LLP; Kirschner v. (2d Cir.), 6; 2224; (N.Y.), 1483; 2024
Kueng; SEC v. (S.D.N.Y.), 1513
Kumar; U.S. v. (2d Cir.), 1613
Kumar; U.S. v. (S.D.N.Y.), 47
Kurland; U.S. v. (S.D.N.Y.), 1092
Kurz v. Holbrook (Del. Ch.), 317
Kurz; Crown EMAK Partners LLC v. (Del.), 805

Labins v. Charles Schwab Corp. (N.D. Cal.), 629
Labor, Dep't of, Solis, Sec'y of *See Solis*
Labor, Dep't of; Klopfenstein v. (5th Cir.), 1409
Laborers Dist. Council Constr. Indus. Pension Fund v. Omnicare Inc. (U.S., rev sought), 1892; (dism), 2165
LACE Fin. Corp., In re (SEC), 1737
Lake of Torches Econ. Dev. Corp.; Wells Fargo Bank NA v. (W.D. Wis.), 969
Lambrecht v. O'Neal (Del.), 1692
Landesbank Baden-Wuerttemberg v. Goldman Sachs & Co. (S.D.N.Y.), 1901
Lanexa Mgmt. LLC; SEC v. (S.D.N.Y.), 2206
Langford; SEC v. (N.D. Ala.), 1417
Langford; U.S. v. (N.D. Ala.), 449
Las Vegas Sands Corp.; Fosbre v. (D. Nev.), 1733
Lasker v. UBS Sec. LLC (2d Cir.), 30
LaTorraca v. Taniki Fin. Corp. (1st Cir.), 1644
Laurienti; U.S. v. (9th Cir.), 1143
Lautenberg Found. v. Madoff (D.N.J.), 2275
Law Debenture Trust Co. of N.Y. v. Maverick Tube Co. (2d Cir.), 372
Lawson v. FMR LLC (D. Mass.), 686
Lay; U.S. v. (6th Cir.), 1366
LC Capital Master Fund Ltd. v. James (Del. Ch.), 597
LDK Solar Tech. Sec. Litig., In re (N.D. Cal.), 90; 1270
Le v. Pham (Cal. Ct. App.), 99
LeCroy; SEC v. (N.D. Ala.), 186; 300; 1544
Ledven; U.S. v. (S.D.N.Y.), 1250
Lee; CFTC v. (W.D. Okla.), 2374
Lehman Bros. Holdings Inc., In re (Bankr. S.D.N.Y.), 1104; 1553; 1670; 2272
Lehman Bros. Holdings Inc.; SIPC v. (Bankr. S.D.N.Y.), 2349
Lehman Bros. Sec. and ERISA Litig., In re (S.D.N.Y.), 224; 356
Lehman Bros. Special Fin. Inc. v. Bank of Am., Adversary Proceeding No. 10-04331 (Bankr. S.D.N.Y.), 2272
Level 3 Communications Inc. Sec. Litig., In re (D. Colo.), 2406
Levie v. Sears Roebuck & Co. (N.D. Ill.), 11
Levinberg; SEC v. (S.D.N.Y.), 226
Levinson v. PSCC Servs. Inc. (D. Conn.), 54
Levis; U.S. v. (S.D.N.Y.), 938; 2214
Levitt v. J.P. Morgan Sec. Inc. (E.D.N.Y.), 1284
Levy; U.S. v. (S.D.N.Y.), 531; 1293
Levy; SEC v. (S.D. Cal.), 1205
Lewalski; U.S. v. (M.D. Fla.), 2170
Lewin v. Lipper Convertibles LP (S.D.N.Y.), 2381
Lewis; U.S. v. (10th Cir.), 310
Libaire v. Kaplan (2d Cir.), 1908
Lincoln Fin. Advisors Corp. v. HealthRight Partners LP (D. Utah), 227
Lindberg v. Clarion Sintered Metals Inc. (W.D. Pa.), 745
Lines; SEC v. (S.D.N.Y.), 2013
Lipper Convertibles LP; Lewin v. (S.D.N.Y.), 2381
Lipton; U.S. v. (C.D. Cal.), 747
Litwin Found. v. U.S. (S.D.N.Y.), 1861
LocatePlus Holdings Corp.; SEC v. (D. Mass.), 1989; 2213
Lockwood Bros. II LLC; Milton Investments LLC v. (Del. Ch.), 1481
London v. Tyrrell (Del. Ch.), 596

Lone Star Fund (U.S.) LP v. Barclays Bank Plc (5th Cir.), 92
Loomis; SEC v. (E.D. Cal.), 355
Lopez v. Atlas One Fin. Group LLC (Fla. Dist. Ct. App.), 274
Loreley Fin. (Jersey) No. 7 v. Credit Agricole Corporate and Inv. Bank (N.Y. Sup. Ct.), 2060
Louisiana Citizens Prop. Ins. Corp.; J.P. Morgan Sec. Inc. v. (S.D.N.Y.), 966
Louisiana Sch. Employees' Ret. Sys. v. Ernst & Young LLP (6th Cir.), 1830
Louisiana Stadium & Exposition Dist. v. Merrill Lynch Pierce Fenner & Smith Inc. (2d Cir.), 2278
Lucent Techs. Inc.; SEC v. (D.N.J.), 1178; 1700
Lucido; U.S. v. (6th Cir.), 1462
Lustgraaf v. Behrens (8th Cir.), 1624
Lustig v. U.S. (S.D.N.Y.), 2404

Ma v. Merrill Lynch, Pierce, Fenner & Smith Inc. (2d Cir.), 422
MacCauli; U.S. v. (E.D.N.Y.), 626
Macdonald; SEC v. (D. Conn.), 227
Mack; SEC v. (C.D. Cal.), 2171
Mack; Security Police and Fire Professionals of Am. Ret. Fund v. (N.Y. Sup. Ct.), 2428
Mackay v. Donovan (E.D. Pa.), 2055
Mackert; U.S. v. (E.D. Va.), 2272
Madoff Energy Holdings LLC; Picard v. (Bankr. S.D.N.Y.), 1512
Madoff Family LLC; Picard v. (Bankr. S.D.N.Y.), 1512
Madoff Inv. Sec. LLC, In re (2d Cir.), 1545; 1943
Madoff Inv. Sec. LLC; SIPC v. (Bankr. S.D.N.Y.), 83; 179; 219; 399; 902; 1628; 1668; 2112; 2203; 2343; 2397
Madoff Techs. LLC; Picard v. (Bankr. S.D.N.Y.), 1512
Madoff; Lautenberg Found. v. (D.N.J.), 2275
Maginn; MCG Capital Corp. v. (Del. Ch.), 1216
Mahaffy; U.S. v. (E.D.N.Y.), 687; 1451
Malack v. BDO Seidman LLP (3d Cir.), 1610
Mannion; SEC v. (N.D. Ga.), 2014
Mansour; Trooien v. (8th Cir.), 1259
Marchant; SEC v. (10th Cir.), 225
Maric Capital Master Fund Ltd. v. PLATO Learning Inc. (Del. Ch.), 1019
Marimuthu; U.S. v. (D. Neb.), 270; 851
Marion v. TDI Inc. (3d Cir.), 53
Marquardt; SEC v. (D. Mass.), 130
Marsh; U.S. v. (E.D.N.Y.), 792
Martin; SEC v. (N.D. Ill.), 2172
Marzec v. Nye (N.C. Ct. App.), 598
Massaro; Oakland County Employees' Ret. Sys. v. (N.D. Ill.), 766
Mathers Family Trust v. Cagle (Colo. Ct. App.), 1386
MathStar Inc. v. Tiberius Capital II LLC (D. Minn.), 909
Mathur; U.S. v. (1st Cir.), 2117
Matrixx Initiatives Inc. v. Siracusano (U.S., rev grant), 1186; (brief filed), 1675; 2209
Matthews; Fosbre v. (D. Nev.), 1335
Maverick Tube Co.; Law Debenture Trust Co. of N.Y. v. (2d Cir.), 372
Mayer Brown LLP; Pacific Inv. Mgmt. Co. LLC v. (2d Cir.), 839; 1002; 1506
McAdams; SEC v. (D.S.C.), 532
McArdle v. Jack Nelson IRA (Tex. Ct. App.), 699
McCall; CFTC v. (W.D.N.C.), 937
McCall; U.S. v. (N.D. Cal.), 496
McClellan; SEC v. (N.D. Cal.), 2263
McCready; U.S. v. (C.D. Cal.), 632
McDaniel; Flynn v. (S.D.N.Y.), 352
McDonald; SEC v. (W.D. Pa.), 38
McFarland; U.S. v. (E.D. Tex.), 1910
MCG Capital Corp. v. Maginn (Del. Ch.), 1216
McGinn Smith & Co.; SEC v. (N.D.N.Y.), 1055
McGinn; SEC v. (N.D.N.Y.), 795
McGowan Investors LP v. Frucher (3d Cir.), 1606
McGraw-Hill Cos.; Connecticut v. (D. Conn.), 485; (Conn. Super. Ct.), 1643

McGuire Woods LLP; Rajala v. (D. Kan.), 1463
 McKay, In re (SEC), 2017
 McKeown; SEC v. (S.D. Fla.), 1294
 McKissick v. Yuen (10th Cir.), 1757
 McLeod, Estate of; SEC v. (S.D. Fla.), 1252
 McReynolds v. Trilantic Capital Partners IV LP (Del. Ch.), 1918
 Mederrick v. U.S. (S.D.N.Y.), 2202
 Medical Capital Holdings Inc.; SEC v. (C.D. Cal.), 413
 Medtronic Inc.; Detroit Gen. Ret. Sys. v. (8th Cir.), 1804
 Medtronic Inc.; Minneapolis Firefighters Relief Ass'n v. (D. Minn.), 268
 Mellone; SEC v. (S.D. Fla.), 1953
 Mellone; U.S. v. (S.D. Fla.), 1953
 Menasha, Wis.; American Bank v. (7th Cir.), 2348
 Mercator Corp.; U.S. v. (S.D.N.Y.), 1550
 Merchant Capital LLC; SEC v. (11th Cir.), 1845
 Merck & Co. v. Reynolds (U.S.), 827
 Merck & Co.; Cain v. (N.J. Super. Ct. App. Div.), 1642
 Mercury Interactive Sec. Litig., In re (9th Cir.), 1590
 Merendon Mining (Nevada) Inc.; SEC v. (W.D. Wash.), 1147
 Merkin; Cuomo v. (N.Y. Sup. Ct.), 319; 2026
 Merkin; Schwartz v. (N.Y. Sup. Ct.), 1806
 Merkin; Wiederhorn v. (N.Y. Sup. Ct.), 1628
 Merklinger; SEC v. (E.D. Mich.), 1108
 Mermart LLC; Dubinsky v. (8th Cir.), 322
 Merrill Lynch & Co.; Cooperatieve Centrale Raiffeisen-Boerenleenbank B.A. v. (N.Y. Sup. Ct.), 806
 Merrill Lynch & Co.; DiNapoli v. (S.D.N.Y.), 1428
 Merrill Lynch & Co.; Public Employees' Ret. Sys. of Miss. v. (S.D.N.Y.), 1109
 Merrill Lynch Auction Rate Sec. Litig., In re (S.D.N.Y.), 302; 634
 Merrill Lynch Pierce Fenner & Smith Inc.; Louisiana Stadium & Exposition Dist. v. (2d Cir.), 2278
 Merrill Lynch, Pierce, Fenner & Smith Inc.; Ma v. (2d Cir.), 422
 Merrill Scott & Assocs. Ltd.; SEC v. (10th Cir.), 576
 Metlife Sec. Inc. v. Pizzano (D.N.J.), 1250
 Metropolitan Sec. Litig., In re (E.D. Wash.), 206
 Metter; U.S. v. (E.D.N.Y.), 915
 MF Global Ltd.; Iowa Pub. Employees' Ret. Sys. v. (2d Cir.), 1731
 MGIC Inv. Corp.; Fulton County Employees' Ret. Sys. v. (E.D. Wis.), 457
 Micron Tech. Inc. Sec. Litig., In re (D.D.C.), 228
 Middlebury Equity Partners LLC; Nagle v. (D. Me.), 29
 Mihailovich; CFTC v. (N.D. Tex.), 1486
 Miller v. Thane Intl. Inc. (9th Cir.), 1530
 Mills Corp. Sec. Litig., In re (E.D. Va.), 70
 Milton Investments LLC v. Lockwood Bros. II LLC (Del. Ch.), 1481
 Milton; CFTC v. (S.D. Fla.), 1340
 Minneapolis Firefighters Relief Ass'n v. Medtronic Inc. (D. Minn.), 268
 Mishkin; U.S. v. (D.D.C.), 121
 MLSMK Investments Co. v. J.P. Morgan Chase & Co. (S.D.N.Y.), 1418
 Moffat; U.S. v. (S.D.N.Y.), 632; 1731
 Mohammed; SEC v. (E.D.N.Y.), 2119
 Moll; Brown v. (N.D. Cal.), 1482; 2228
 Monroe County Employees Ret. Sys. v. Carlson (Del. Ch.), 1261
 Moody; SEC v. (M.D. Fla.), 90
 Moody's Corp.; California Pub. Employees' Ret. Sys. v. (Cal. Super. Ct.), 2424
 Moody's Corp.; Kolchinsky v. (S.D.N.Y.), 1795
 Moody's Investor Serv. Inc.; American Signature Inc. v. (S.D. Ohio), 1420
 Moody's Investor Serv. Inc.; Brown v. (Cal. Super. Ct.), 806
 Moody's Investor Serv. Inc.; Grassi v. (E.D. Cal.), 628
 Moore Capital Mgmt. LP, In re (CFTC), 979
 Moore; CFTC v. (S.D.N.Y.), 493
 Morgan Asset Mgmt. Inc., In re (SEC), 744; 1412; 1511
 Morgan Stanley & Co., In re (Mass. Super. Ct.), 1302
 Morgan Stanley & Co. v. Seghers (S.D.N.Y.), 1952
 Morgan Stanley & Co.; Cambridge Place Inv. Mgmt. Inc. v. (Mass. Super. Ct.), 1384
 Morgan Stanley Capital Group Inc., In re (CFTC), 868
 Morgan Stanley Dean Witter Inc.; Appert v. (N.D. Ill.), 2426
 Morgan Stanley Dean Witter Inc.; Broom v. (Wash.), 1478
 Morgan Stanley Info. Fund Sec. Litig., In re (2d Cir.), 185
 Morgan Stanley Mortgage Pass-Through Certificates Litig., In re (S.D.N.Y.), 1593
 Morgan v. Cash (Del. Ch.), 1429
 Morgan; U.S. v. (M.D. Fla.), 16
 Morof v. United Mo. Bank, Warsaw (6th Cir.), 1641
 Morrice; SEC v. (C.D. Cal.), 1523
 Morris; Cuomo v. (N.Y. Sup. Ct.), 2202
 Morris; New York v. (N.Y. Sup. Ct.), 484; 1515; 2307
 Morrison v. National Australia Bank Ltd. (U.S., brief filed), 394; (oral arg), 615; (aff), 1238
 Mortensen; SEC v. (N.D. Tex.), 1178
 Mortimer Off Shore Servs. Ltd. v. Federal Republic of Germany (2d Cir.), 1457
 Morton; SEC v. (S.D.N.Y.), 414
 Mosley v. American Express Fin. Advisors Inc. (Mont.), 758
 Mouzon, In re (SEC), 1737
 Mozilo; SEC v. (C.D. Cal.), 1629; 1796; 2015
 Mrakuzic; SEC v. (M.D. Fla.), 467
 Mscisz; Kashner Davidson Sec. Corp. v. (1st Cir.), 682
 Murray; U.S. v. (E.D. Cal.), 1121
 Mushriqui; U.S. v. (D.D.C.), 121
 Mutual Funds Inv. Litig., In re (D. Md.), 2403
 MXBK Group S.A. de C.V.; CFTC v. (D. Utah), 2373
 Myers; U.S. v. (S.D. Cal.), 2334
 Nacchio; SEC v. (D. Colo.), 707; 1770
 Nacchio; U.S. v. (D. Colo.), 189; 1291
 NACCO Indus. Inc. v. Applicia Inc. (Del. Ch.), 31
 Nadel; U.S. v. (S.D.N.Y.), 351; 2061
 Nagle v. Middlebury Equity Partners LLC (D. Me.), 29
 Naranjo; CFTC v. (C.D. Cal.), 1071
 Nassbridges; CFTC v. (C.D. Cal.), 2433
 NATCO Group Inc., In re (SEC), 91
 NATCO Group Inc.; SEC v. (S.D. Tex.), 91
 National Australia Bank Ltd.; Morrison v. (U.S., brief filed), 394; (oral arg), 615; (aff), 1238
 National City Corp. Shareholders Litig., In re (Del.), 932
 Nationwide Life Ins. Co.; Demings v. (6th Cir.), 231
 Navarro; SEC v. (N.D. Okla.), 628
 NECA-IBEW Health & Welfare Fund v. Goldman Sachs & Co. (S.D.N.Y.), 2015
 Nemet v. Shrader (Del.), 697
 NetCoalition v. SEC (D.C. Cir.), 1529
 Netschi; U.S. v. (S.D.N.Y.), 2281
 Neuman, In re (CFTC), 1698
 New Jersey Dept of Treasury, Div. of Inv. v. Fuld (3d Cir.), 1012
 New Jersey, In re (SEC), 1584
 New York City Employees' Ret. Sys. v. Jobs (9th Cir.), 225
 New York v. Bank of Am. Corp. (N.Y. Sup. Ct.), 246; 1644
 New York v. Hevesi (N.Y. Sup. Ct.), 1976
 New York v. Morris (N.Y. Sup. Ct.), 484; 1515; 2307
 Newman v. Family Mgmt. Corp. (S.D.N.Y.), 2054
 Newmark; eBay Domestic Holdings Inc. v. (Del. Ch.), 1758
 Nguyen; U.S. v. (E.D. Pa.), 532
 Nicholas; SEC v. (C.D. Cal.), 275
 Nicholas; U.S. v. (C.D. Cal.), 84; (9th Cir.), 1119
 Nicholson; U.S. v. (S.D.N.Y.), 2125
 NML Capital v. Republic of Argentina (2d Cir.), 1844
 Noble Americas Corp., In re (CFTC), 980
 Nolan; CFTC v. (N.D. Ill.), 255; 492
 Norex Petroleum Ltd. v. Access Indus. Inc. (2d Cir.), 1847
 Northstar Fin. Advisors Inc. v. Schwab Investments (9th Cir.), 1529
 Nouri; U.S. v. (S.D.N.Y.), 1013
 Novus Techs. LLC; SEC v. (D. Utah), 2061
 NVidia Corp. Sec. Litig., In re (N.D. Cal.), 2081
 Nye; Marzec v. (N.C. Ct. App.), 598
 Oakland County Employees' Ret. Sys. v. Massaro (N.D. Ill.), 766
 Oakley Inc.; Pipefitters Local 636 Defined Benefit Plan v. (Cal. Ct. App.), 156
 Obus; SEC v. (S.D.N.Y.), 1785
 O'Dell; SEC v. (D.D.C.), 1119
 Odland, In re (SEC), 2017
 Office Depot Inc., In re (SEC), 2017
 Office Depot Inc.; SEC v. (S.D. Fla.), 2017
 Official Comm. of Unsecured Creditors of Allegheny Health, Educ. & Research Found. v. PricewaterhouseCoopers LLP (Pa.), 366; (3d Cir.), 1114
 Official Unsecured Creditors' Comm. of Bayou Group LLC; Goldman Sachs Execution & Clearing LP v. (S.D.N.Y.), 1548; 2166; 2262
 Offill; Reneker v. (N.D. Tex.), 809
 Offill; U.S. v. (E.D. Va.), 189
 O'Hara; U.S. v. (S.D.N.Y.), 526
 Okada, In re (SEC), 48
 Oklahoma Dept of Sec. v. Blair (Okla.), 370
 Olis v. U.S. (U.S., rev den), 160
 Olson v. Halvorsen (Del.), 27
 Olver; Harper Woods Employees' Ret. Sys., City of v. (D.C. Cir.), 11
 Omnicare Inc.; Laborers Dist. Council Constr. Indus. Pension Fund v. (U.S., rev sought), 1892; (dism), 2165
 Omnicom Group Inc. Sec. Litig., In re (2d Cir.), 494
 Oncale; U.S. v. (E.D. Va.), 2170
 One Communications Corp. v. J.P. Morgan SBIC LLC (2d Cir.), 1269
 One or More Unknown Purchasers of Sec. of Wimm-Bill-Dann Foods OJSC; SEC v. (S.D.N.Y.), 2338
 O'Neal; Lambrecht v. (Del.), 1692
 Onyx Capital Advisors LLC; SEC v. (E.D. Mich.), 851
 Operating Local 649 Annuity Trust Fund v. Smith Barney Fund Mgmt. LLC (2d Cir.), 284
 Operating Local 649 Annuity Trust Fund; Chiumenta Group v. (2d Cir.), 284
 Oppenheimer & Co. v. Deutsche Bank AG (S.D.N.Y.), 460
 Oppenheimer & Co.; Ashland Inc. v. (E.D. Ky.), 357
 Oracle Corp. Sec. Litig., In re (9th Cir.), 2237
 Oram; SEC v. (D. Utah), 2373
 Orgel; Coleman v. (2d Cir.), 2110
 O'Riordan; U.S. v. (D. Mass.), 1595
 ORIX LF LP v. InsCap Asset Mgmt. LLC (Del. Ch.), 808
 Oshkosh Corp.; Iron Workers Local 25 Pension Fund v. (E.D. Wis.), 707
 Ossie; CFTC v. (N.D. Ga.), 2373
 Oster v. Kirschner (N.Y. App. Div.), 1336
 Pacific Asian Atl. Found.; SEC v. (C.D. Cal.), 358
 Pacific Inv. Mgmt. Co. LLC v. Hershey (U.S., rev den), 375; (N.D. Ill.), 603
 Pacific Inv. Mgmt. Co. LLC v. Mayer Brown LLP (2d Cir.), 839; 1002; 1506
 Palmer; CFTC v. (D. Idaho), 1983
 Palmer; SEC v. (S.D. Fla.), 1953
 Palmer; U.S. v. (S.D. Fla.), 1953
 Palmyra Capital Advisors LLC, In re (SEC), 191
 Panalpina Inc.; SEC v. (S.D. Tex.), 2116
 Panalpina Inc.; U.S. v. (S.D. Tex.), 2116
 Panasuk v. Steel Dynamics Inc. (N.D. Ind.), 56
 Panera Bread Co.; Western Wash. Laborers-Employers Pension Trust v. (E.D. Mo.), 533
 Park v. Cangen Corp. (Md.), 2135
 Park; Birks v. (U.S., rev den), 616

Parkcentral Global LP v. Brown Inv. Mgmt. LP (Del.), 1605

Parklane Inc.; Kim v. (D.N.J.), 483

Parmalat Sec. Litig., In re (S.D.N.Y.), 368

Patel; U.S. v. (D.D.C.), 121

Paz; U.S. v. (D.D.C.), 121

Peninger; CFTC v. (D.S.C.), 703

PennMont Sec. v. Frucher (U.S., rev den), 394

Pension Comm. of Univ. of Montreal Pension Plan v. Banc of Am. Sec. LLC (S.D.N.Y.), 287

Pension Fund of Am.; SEC v. (11th Cir.), 966

Pentagon Capital Mgmt. PLC; SEC v. (S.D.N.Y.), 1953; 2215

People v. *See name of opposing party*

Pequot Capital Mgmt. Inc.; SEC v. (D. Conn.), 1460

Peregrine Fin. Group Inc.; Prestwick Capital Mgmt. Ltd. v. (N.D. Ill.), 2230

Perez; SEC v. (S.D. Fla.), 1110

Perez; U.S. v. (S.D. Fla.), 1110; 2407

Perkins; CFTC v. (3d Cir.), 1339

Perraud; U.S. v. (S.D. Fla.), 301

Perrigo Co.; CLAL Fin. Batucha Inv. Mgmt. Ltd. v. (S.D.N.Y.), 2032

Peskin v. Picard (S.D.N.Y.), 2113

Petters; U.S. v. (D. Minn.), 685; 1145

Pfeiffer v. Toll (Del. Ch.), 545

Pham; Le v. (Cal. Ct. App.), 99

Pharmacia Corp. v. Alaska Elec. Pension Fund (U.S., rev den), 895

Picard v. Avellino (Bankr. S.D.N.Y.), 2397

Picard v. Blue Star Investors LLC (Bankr. S.D.N.Y.), 2274

Picard v. Fairfield Sentry Ltd. (Bankr. S.D.N.Y.), 1415

Picard v. HSBC Holdings Inc. (Bankr. S.D.N.Y.), 2344

Picard v. J.P. Morgan Chase & Co. (Bankr. S.D.N.Y.), 2273

Picard v. Kohn (Bankr. S.D.N.Y.), 2397

Picard v. Madoff Energy Holdings LLC (Bankr. S.D.N.Y.), 1512

Picard v. Madoff Family LLC (Bankr. S.D.N.Y.), 1512

Picard v. Madoff Techs. LLC (Bankr. S.D.N.Y.), 1512

Picard v. UBS AG (Bankr. S.D.N.Y.), 2273

Picard v. Vizcaya Partners Ltd. (Bankr. S.D.N.Y.), 1668

Picard; Peskin v. (S.D.N.Y.), 2113

Pinemore LP, In re (CFTC), 254

Pinnacle Capital Mkts. LLC, In re (FinCEN), 1671

Pinnacle Capital Mkts. LLC, In re (SEC), 1671

Pinnacle Partners Fin. Corp., In re (FINRA), 2350

Pipefitters Local 636 Defined Benefit Plan v. Oakley Inc. (Cal. Ct. App.), 156

Pipenhagen, In re (CFTC), 1698

Pirate Investor LLC; SEC v. (U.S., rev den), 1281

Pitkin v. Southridge Capital Mgmt. (Conn. Super. Ct.), 2063

Pitkin v. Westport Natl. Bank (Conn. Super. Ct.), 807

Pittsford Capital Income Partners LLC; SEC v. (W.D.N.Y.), 1146

Pizzano; Metlife Sec. Inc. v. (D.N.J.), 1250

Pizzoloto; CFTC v. (E.D. La.), 67

Plate; U.S. v. (S.D.N.Y.), 191; 1416

Platform Wireless Intl. Corp.; SEC v. (9th Cir.), 1458

PLATO Learning Inc.; Maric Capital Master Fund Ltd. v. (Del. Ch.), 1019

Plumbers & Steamfitters Local 773 Pension Fund v. Canadian Imperial Bank of Commerce (S.D.N.Y.), 585

Plumbers, Local 12 Pension Fund v. Ambassadors Group (E.D. Wash.), 1144

Plumbers, Local 12 Pension Fund v. Swiss Reinsurance Co. (S.D.N.Y.), 1903

Police and Fire Ret. Sys. of City of Detroit v. SafeNet Inc. (S.D.N.Y.), 535

Pontiac Gen. Employees' Ret. Sys., City of v. Stryker Corp. (S.D.N.Y.), 1056

Poptech LP v. Stewardship Inv. Advisors LLC (D. Conn.), 2108

Porche; SEC v. (C.D. Cal.), 1511

Poteroba, In re (SEC), 1907

Poteroba; SEC v. (S.D.N.Y.), 578; 1907

Poteroba; U.S. v. (S.D.N.Y.), 578

Presstek Inc.; SEC v. (D. Mass.), 462

Presto Telecommunications Inc.; SEC v. (S.D. Cal.), 2120

Prestwick Capital Mgmt. Ltd. v. Peregrine Fin. Group Inc. (N.D. Ill.), 2230

Prevost; SEC v. (D. Minn.), 1955

PricewaterhouseCoopers LLP; Continental Cas. Co. v. (N.Y.), 1306

PricewaterhouseCoopers LLP; Official Comm. of Unsecured Creditors of Allegheny Health, Educ. & Research Found. v. (Pa.), 366; (3d Cir.), 1114

PricewaterhouseCoopers LLP; Teachers' Ret. Sys. of La. v. (Del.), 495

PricewaterhouseCoopers LLP; Teachers' Ret. Sys. of La. v. (N.Y.), 2024

Prime Vest Fin. Servs. Inc.; Jaspers v. (D. Minn.), 1693

Prince; U.S. v. (N.D. Cal.), 412

Priore; SEC v. (S.D.N.Y.), 1248

Prizm Group Inc. v. Anderson (Del. Ch.), 1021

Proshares Trust v. Schnall (S.D.N.Y.), 355

Proskauer Rose LLP; Affco Investments 2001 LLC v. (5th Cir.), 2041

Prospect High Income Fund; Grant Thornton LLP v. (Tex.), 1343

PSCC Servs. Inc.; Levinson v. (D. Conn.), 54

PT China LLC v. PT Ko rea LLC (Del. Ch.), 424

PT Ko rea LLC; PT China LLC v. (Del. Ch.), 424

Public Co. Accounting Oversight Bd.; Free Enter. Fund v. (U.S.), 1303

Public Employees' Ret. Sys. of Miss. v. Merrill Lynch & Co. (S.D.N.Y.), 1109

Putnam Funds Trust; Kircher v. (Ill. App. Ct.), 98

PXRE Group Ltd.; Anegada Master Fund Ltd. v. (S.D.N.Y.), 229

PXRE Group Ltd.; Condra v. (2d Cir.), 8

Pyramid Fin. Corp. v. FINRA (N.D. Cal.), 1666

Quadrangle Group LLC; SEC v. (S.D.N.Y.), 742

Quest Energy Partners LP; Friedman v. (W.D. Okla.), 12

Quest Res. Corp.; Jents v. (W.D. Okla.), 12

Questar Capital Corp.; Janiga v. (7th Cir.), 1506; (U.S., rev den), 2391

Quinlan; SEC v. (6th Cir.), 791

Quinn v. Anvil Corp. (9th Cir.), 1671

QVT Assocs. GP LLC; Goldstein v. (S.D.N.Y.), 2008

Racepoint Partners LLC v. J.P. Morgan Chase Bank N.A. (N.Y.), 687

Radian Sec. Litig., In re (E.D. Pa.), 967

Radmore v. Aegis Communications Group Inc. (U.S., rev den), 366

RAE Sys. Inc.; SEC v. (D.D.C.), 2402

Raifman; Wachovia Sec. LLC v. (N.D. Cal.), 2124

Rajala v. McGuire Woods LLP (D. Kan.), 1463

Rajaratnam; SEC v. (2d Cir.), 282; 345; 408; 896; 1047; 1091; 1136; 1289; 1839

Rajaratnam; U.S. v. (S.D.N.Y.), 9; 10; 47; 269; 282; 525; 623; 678; 739; 896; 954; 1289; 1589; 2018; 2046; 2251; 2252

Ramunno; U.S. v. (11th Cir.), 545

Randall; U.S. v. (N.D. Tex.), 903

Rattner; SEC v. (S.D.N.Y.), 2202

Raval; SEC v. (D. Neb.), 534

Raymond James Fin. Servs. Inc. v. Bishop (4th Cir.), 459; (U.S., rev den), 1892

Raymond James Fin. Servs. Inc. v. Honea (Ala.), 1259

Raymond James Fin. Servs. Inc.; Defer LP v. (S.D.N.Y.), 1716

RBC Dominion Sec. Corp.; Fairfield Sentry Ltd. v. (Bankr. S.D.N.Y.), 1587

RBC Dominion Sec. Corp.; Highland Capital Mgmt. LP v. (2d Cir.), 1337

Reaves; Charles Schwab & Co. v. (D. Ariz.), 306

Redekopp; SEC v. (W.D. Wash.), 1572

Refco Inc. Sec. Litig., In re (S.D.N.Y.), 2032

Regions Fin. Corp.; Fait v. (S.D.N.Y.), 981

Regions Morgan Keegan Sec., Derivative and ERISA Litig., In re (W.D. Tenn.), 308

Related Westpac LLC v. JER Snowmass LLC (Del. Ch.), 1757

RelationServe Media Inc.; Thompson v. (11th Cir.), 1326

REMEC Inc. Sec. Litig., In re (S.D. Cal.), 938

Reneker v. Offill (N.D. Tex.), 809

Republic of Argentina; NML Capital v. (2d Cir.), 1844

Republic of Argentina; Seijas v. (2d Cir.), 1110

Reserve Fund Sec. and Derivative Litig., In re (S.D.N.Y.), 402

Reserve Intl. Liquidity Fund Ltd. v. Caxton Intl. Ltd. (S.D.N.Y.), 897

Reserve Mgmt. Co.; SEC v. (S.D.N.Y.), 402; 1730; 2402

Residential Asset Securitization Trust 2006-A8; Tsereteli v. (S.D.N.Y.), 537

Reskin; U.S. v. (N.D. Okla.), 631

Retail Pro Inc.; SEC v. (S.D. Cal.), 818

Retana; U.S. v. (C.D. Cal.), 853

Reyes; U.S. v. (N.D. Cal.), 659; 1270

Reynolds; Merck & Co. v. (U.S.), 827

Reys; SEC v. (W.D. Wash.), 913

Rich; U.S. v. (9th Cir.), 912

Richardson v. Blankfein (S.D.N.Y.), 904

Richtelli; SEC v. (D. Conn.), 1419; 2268

Richman v. Goldman Sachs Group Inc. (S.D.N.Y.), 831

Ricupero, In re (SEC), 1719

Rigas v. U.S. (U.S., rev den), 1892

Rigel Pharms. Inc. Sec. Litig., In re (N.D. Cal.), 17

Riggio; Yucaipa Am. Alliance Fund II LP v. (Del. Ch.), 1604; (Del.), 1760

Riley, In re (CFTC), 324

Rio Grande Co. v. Energy Transfer Partners LP (5th Cir.), 1767

Riordan, In re (SEC), 18

Ritchie Special Credit Investments Ltd. v. U.S. (U.S., rev sought), 2346

Rivelli; SEC v. (D. Conn.), 1394

Rivernider; U.S. v. (D. Conn.), 2174

RK Co. v. See (7th Cir.), 1857

Rodriguez; U.S. v. (S.D.N.Y.), 1864

ROK Entmt. Group Inc.; Ashall Homes Ltd. v. (Del. Ch.), 977

Romano v. Kazacos (2d Cir.), 1285

Roresh; SEC v. (S.D.N.Y.), 1282

Rosenthal Collins Group LLC, In re (CFTC), 1983

Rosinek v. Blankfein (N.Y. Sup. Ct.), 865

Ross; U.S. v. (N.D. Ill.), 2143

Rothstein; U.S. v. (S.D. Fla.), 190; 1139

Roy; Garland v. (5th Cir.), 1590

Royal Bank of Canada; Healey Alternative Inv. P'ship v. (D.N.J.), 2367

Royal Dutch Shell plc, In re (SEC), 2116

Ruderman; U.S. v. (C.D. Cal.), 88

Rusciano; U.S. v. (D. Conn.), 58

Rushing v. Wells Fargo Bank NA (M.D. Fla.), 2311

Ruskin Moscou Faltischek P.C.; Fortress Credit Corp. v. (N.Y. Sup. Ct.), 1417

Sabhlok; SEC v. (N.D. Cal.), 878

Sable; U.S. v. (D. Mass.), 309

Sachdeva; SEC v. (E.D. Wis.), 1701

SafeNet Inc.; Police and Fire Ret. Sys. of City of Detroit v. (S.D.N.Y.), 535

St. Petersburg, City of v. Wachovia Bank N.A. (M.D. Fla.), 1518

SAL Fin. Servs. Inc. v. Gauzza (Fla. Dist. Ct. App.), 1385

Saleh; SEC v. (N.D. Tex.), 57

Salutric; SEC v. (N.D. Ill.), 92

Samberg; SEC v. (D. Conn.), 1042

Samueli; Bakshi v. (C.D. Cal.), 69

Samueli; U.S. v. (C.D. Cal.), 84; (9th Cir.), 1119

San Antonio Fire & Police Pension Fund v. Bradbury (Del. Ch.), 2225

San Diego Gas & Elec. Co., In re (CFTC), 870
San Francisco Residence Club Inc. v. Amado (N.D. Cal.), 1144
Sand; SEC v. (S.D. Fla.), 1953
Sand; U.S. v. (S.D. Fla.), 1953
S&D Trading Acad. LLC (U.S., rev den), 98
S&G Investco Inc.; Febles v. (S.D. Fla.), 1486
Santarlas, In re (N.Y. App. Div.), 2310
Santarlas; SEC v. (S.D.N.Y.), 1317
Satterfield; CFTC v. (D.S.C.), 2374
Schaffer; CorVel Enter. Comp Inc. v. (Del. Ch.), 1219
Schering-Plough Corp./ENHANCE Sec. Litig., In re (D.N.J.), 1371
Schering-Plough Corp. Sec. Litig., In re (D.N.J.), 58
Schiff; U.S. v. (3d Cir.), 704; (D.N.J.), 1344
Schleicher v. Wendt (7th Cir.), 1623
Schmidt; U.S. v. (10th Cir.), 310
Schnall; Proshares Trust v. (S.D.N.Y.), 355
Schneider; Highland Capital Mgmt. LP v. (2d Cir.), 1220
Schott v. Ivy Asset Mgmt. Corp. (N.D. Cal.), 2114
Schott; SEC v. (E.D. Mo.), 1614
Schrenker v. State (Ind. Ct. App.), 199
Schroeder; SEC v. (N.D. Cal.), 2212
Schwab Investments; Northstar Fin. Advisors Inc. v. (9th Cir.), 1529
Schwartz v. Merkin (N.Y. Sup. Ct.), 1806
Scoppetuolo; SEC v. (S.D. Fla.), 327
Scotia Capital Inc., In re (CFTC), 254
Scott-Jones; U.S. v. (S.D.N.Y.), 1736
Scutieri, Estate of v. Chambers (11th Cir.), 1480
Sears Holding Corp.; Campo v. (2d Cir.), 842
Sears Roebuck & Co.; Levie v. (N.D. Ill.), 11
Sebbag; SEC v. (S.D.N.Y.), 1074
Sebbag; U.S. v. (S.D.N.Y.), 1623
Sebig LLC; Gerstner v. (8th Cir.), 1413
SEC v. *See name of opposing party*
Securities Investor Prot. Corp. *See SIPC*
Security Police and Fire Professionals of Am. Ret. Fund v. Mack (N.Y. Sup. Ct.), 2428
See; RK Co. v. (7th Cir.), 1857
Segal v. Fifth Third Bank N.A. (U.S., rev den), 1045
Seghers; Morgan Stanley & Co. v. (S.D.N.Y.), 1952
Seghers; SEC v. (5th Cir.), 2398
Seijas v. Republic of Argentina (2d Cir.), 1110
Selectica Inc. v. Versata Enters. Inc. (Del. Ch.), 482; (Del.), 1260; 1383; 1916
Self; SEC v. (E.D. Pa.), 1669
SEPTA *See* Southeastern Pa. Transp. Auth.
Sery v. Federal Bus. Ctrs. Inc. (3d Cir.), 371
\$7,206,157,717 on Deposit at JP Morgan Chase Bank N.A.; U.S. v. (S.D.N.Y.), 2396
SFM Holdings Ltd. v. Bank of Am. Sec. LLC (11th Cir.), 653
Shah; U.S. v. (S.D.N.Y.), 2283
Shalhoub; CFTC v. (E.D.N.Y.), 1025
Shanahan; SEC v. (E.D. Mo.), 57; 131; 326
Shapiro; SEC v. (S.D. Fla.), 795
Shapiro; U.S. v. (D.N.J.), 795; 1371; 1735
Sharenow v. Impac Mortgage Holdings Inc. (9th Cir.), 1286
Shelby; U.S. v. (5th Cir.), 841; (U.S., rev den), 2122
Shimer v. CFTC (U.S., rev den), 491
Shimoon; U.S. v. (S.D.N.Y.), 2395
Shrader; Nemeck v. (Del.), 697
Siegel, In re (SEC), 1206
Siegel v. SEC (D.C. Cir.), 87; (U.S., rev den), 1045
Silette; Crawford v. (5th Cir.), 1167
Simmonds v. Credit Suisse Sec. (USA) LLC (9th Cir.), 2254
Simms; Ultimate Timing LLC v. (W.D. Wash.), 1385
Singer; U.S. v. (D.S.C.), 207
SIPC v. Lehman Bros. Holdings Inc. (Bankr. S.D.N.Y.), 2349
SIPC v. Madoff Inv. Sec. LLC (Bankr. S.D.N.Y.), 83; 179; 219; 399; 902; 1628; 1668; 2112; 2203; 2343; 2397
Siracusano; Matrixx Initiatives Inc. v. (U.S., rev grant), 1186; (brief filed), 1675; 2209
\$625,000,000 on Deposit at JP Morgan Chase Bank N.A.; U.S. v. (S.D.N.Y.), 2342
Skilling v. U.S. (U.S., oral arg), 428; (vac and rem), 1239
Sky Bell Asset Mgmt. LLC; Tuttle v. (N.D. Cal.), 2279
Sky Way Global LLC; SEC v. (M.D. Fla.), 962; 2335
Slaine; SEC v. (S.D.N.Y.), 231
Slaine; U.S. v. (S.D.N.Y.), 231
Slayton v. American Express Co. (2d Cir.), 172; 1007
Slovak; SEC v. (N.D. Tex.), 852
Smith & Wesson Holding Corp. Derivative Litig., In re (D. Mass.), 2135
Smith Barney Fund Mgmt. LLC; Operating Local 649 Annuity Trust Fund v. (2d Cir.), 284
Smith v. Franklin/Templeton Distributors Inc. (N.D. Cal.), 1199; 2055
Smith; CFTC v. (W.D. Va.), 493; 549
Snamprogetti Netherlands B.V.; U.S. v. (S.D. Tex.), 1322
Snyder; Sound Infniti v. (Wash.), 1479
Societe Generale Sec. Litig., In re (S.D.N.Y.), 1900
Socratek Inc.; International Swaps and Derivatives Ass'n v. (S.D.N.Y.), 936
Sojitz Corp.; Aluminium Bahrain B.S.C. v. (S.D. Tex.), 1093
Solis v. Beacon Assocs. LLC (S.D.N.Y.), 2005
Solis; Coppinger-Martin v. (9th Cir.), 2256
Solow; SEC v. (S.D. Fla.), 132
Song; CFTC v. (S.D.N.Y.), 763
Sorkin v. Goldman Sachs Group Inc. (S.D.N.Y.), 831
Sound Infniti v. Snyder (Wash.), 1479
Southeastern Pa. Transp. Auth. v. Hayward (Del. Ch.), 1069
Southern Farm Bureau Life Ins. Co.; Badger v. (11th Cir.), 1507
Southridge Capital Mgmt.; Pitkin v. (Conn. Super. Ct.), 2063
Southridge Capital Mgmt.; SEC v. (D. Conn.), 2063
Southridge Partners LP; Aris Multi-Strategy Fund LP v. (Del. Ch.), 1069
Spiegel v. Blankfein (N.Y. Sup. Ct.), 865
Spitzer; SEC v. (N.D. Ill.), 1293
Spongetech Delivery Sys. Inc.; SEC v. (E.D.N.Y.), 915
Squid Soap LP; Airborne Health Inc. v. (Del. Ch.), 1483
SRM Global Fund LP v. Countrywide Fin. Corp. (S.D.N.Y.), 1251
Stafford; U.S. v. (E.D. Va.), 1861
Stamco; Douglas v. (2d Cir.), 249
Standard Inv. Chartered Inc. v. FINRA (S.D.N.Y.), 14; 291; 406; 522
Starr Found. v. American Intl. Group Inc. (N.Y. App. Div.), 1115
Starr; SEC v. (S.D.N.Y.), 1053
Starr; U.S. v. (S.D.N.Y.), 1053; 1146; 1734
Stascavage; Day v. (Colo. Ct. App.), 2227
State v. *See name of opposing party*
State Street Bank and Trust Co., In re (SEC), 218
State Street Bank and Trust Co.; SEC v. (D. Mass.), 218
State Street Corp.; Yu v. (S.D.N.Y.), 407; 1422
Steel Dynamics Inc.; Panasuk v. (N.D. Ind.), 56
Steese Evans & Frankel P.C. v. SEC (D. Colo.), 2399
Steffes; SEC v. (N.D. Ill.), 1858
Stevanovich; Cohen v. (S.D.N.Y.), 1420
Steward Intl. Enhanced Index Fund v. Carr (D.N.J.), 180
Stewardship Inv. Advisors LLC; Poptech LP v. (D. Conn.), 2108
Stinn; U.S. v. (2d Cir.), 1075
Stinson; SEC v. (E.D. Pa.), 1295
Stitsky; U.S. v. (S.D.N.Y.), 1320
STMicroelectronics N.V. v. Credit Suisse Sec. (USA) LLC (S.D.N.Y.), 587
Stocker; U.S. v. (E.D. Va.), 540
Strategic Res. Corp.; BDO Seidman LLP v. (N.Y. App. Div.), 485
Stryker Corp.; Pontiac Gen. Employees' Ret. Sys., City of v. (S.D.N.Y.), 1056
Subpoena Duces Tecum From SEC to Verizon Wireless, In re (D. Conn.), 2401
Subpoena to CME Group Inc., In re (N.D. Ill.), 1979
Suman; SEC v. (S.D.N.Y.), 283
Sunrise Senior Living, Inc.; SEC v. (D.D.C.), 1489
Sutton Bank v. Kliner (N.D. Ohio), 741
SV Inv. Partners LLC v. Thoughtworks Inc. (Del. Ch.), 2311
Swank Energy Income Advisers LP; Bachow v. (N.D. Tex.), 85
Swiss Reinsurance Co.; Plumbers, Local 12 Pension Fund v. (S.D.N.Y.), 1903
Tajyar; U.S. v. (C.D. Cal.), 635
Take-Two Interactive Software Inc. v. Brant (S.D.N.Y.), 660
Taleo Corp. Sec. Litig., In re (N.D. Cal.), 382
Tambone; SEC v. (1st Cir.), 443
Tang; U.S. v. (N.D. Cal.), 744
Taniki Fin. Corp.; LaTorraca v. (1st Cir.), 1644
TDI Inc.; Marion v. (3d Cir.), 53
Teachers' Ret. Sys. of La. v. PricewaterhouseCoopers LLP (Del.), 495
Teachers' Ret. Sys. of La. v. PricewaterhouseCoopers LLP (N.Y.), 2024
Technip S.A.; SEC v. (S.D. Tex.), 1292
Technip S.A.; U.S. v. (S.D. Tex.), 1292
Tecumseh Holdings Corp.; SEC v. (S.D.N.Y.), 59
Tedeschi; U.S. v. (S.D.N.Y.), 1862
Temple; SEC v. (D. Del.), 2349
Terra Firma Investments (GP) 2 Ltd. v. Citigroup Inc. (S.D.N.Y.), 1811; 2173
Terra Sec. ASA Konkursbo v. Citigroup Inc. (S.D.N.Y.), 304
TETRA Techs. Inc. Sec. Litig., In re (S.D. Tex.), 768
Texas Eastern Prods. Pipeline Co. LLC Merger Litig., In re (Del. Ch.), 248
Texas Eastern Prods. Pipeline Co. LLC; Brinkerhoff v. (Del. Ch.), 248
Textron Inc. v. U.S. (U.S., rev den), 1072
Thane Intl. Inc.; Miller v. (9th Cir.), 1530
Theflyonthewall.com Inc.; Barclays Capital Inc. v. (2d Cir.), 1454
Theye; CFTC v. (W.D. Tex.), 1264
Thomas; U.S. v. (N.D. Ohio), 1107
Thompson v. RelationServe Media Inc. (11th Cir.), 1326
Thoughtworks Inc.; SV Inv. Partners LLC v. (Del. Ch.), 2311
3com Shareholders Litig., In re (Del. Ch.), 61
\$304,041.01 on Deposit at Citibank N.A.; U.S. v. (S.D.N.Y.), 1246; 1514
Tiberius Capital II LLC; MathStar Inc. v. (D. Minn.), 909
Tolin v. Ambac Fin. Group Inc. (S.D.N.Y.), 18
Toll; Pfeiffer v. (Del. Ch.), 545
Tomasetta; U.S. v. (S.D.N.Y.), 2437
Tomlinson v. Goldman, Sachs & Co. (N.D. Ill.), 34
Towerhill Wealth Mgmt. LLC v. Bander Family P'ship LP (Del. Ch.), 1170
Trabulse; U.S. v. (N.D. Cal.), 905
Trade-LLC; SEC v. (S.D.N.Y.), 1251
Trainer Wortham & Co. v. Betz (U.S., rev den), 895; (9th Cir.), 1318
Treadwell; U.S. v. (9th Cir.), 233
Tremont Sec. Law, State Law, and Ins. Litig., In re (S.D.N.Y.), 659
Trident Microsystems Inc.; SEC v. (D.D.C.), 1438
Triland USA, In re (CFTC), 1924
Trilantic Capital Partners IV LP; McReynolds v. (Del. Ch.), 1918
Triton Fin. LLC; SEC v. (W.D. Tex.), 20
Trooen v. Mansour (8th Cir.), 1259
True North Fin. Corp.; SEC v. (D. Minn.), 1812
Tsereteli v. Residential Asset Securitization Trust 2006-A8 (S.D.N.Y.), 537
Tsukuda-America Inc.; SEC v. (N.D. Tex.), 588
Tudor; SEC v. (S.D.N.Y.), 2206

Turner; SEC v. (D.D.C.), 1508
 Tuttle v. Sky Bell Asset Mgmt. LLC (N.D. Cal.), 2279
 \$2,050,000 on Deposit in Fid. Investments Account
 No. X57-197955; U.S. v. (S.D.N.Y.), 1630
 Tyrrell; London v. (Del. Ch.), 596
 Tzolov; SEC v. (S.D.N.Y.), 1207

 Uberuaga; SEC v. (S.D. Cal.), 2056
 UBS AG Sec. Litig., In re (S.D.N.Y.), 1724
 UBS AG, In re (CFTC), 376
 UBS AG; Picard v. (Bankr. S.D.N.Y.), 2273
 UBS Sec. Inc., In re (CFTC), 868
 UBS Sec. LLC v. Voegeli (S.D.N.Y.), 234
 UBS Sec. LLC; Lasker v. (2d Cir.), 30
 Ultimate Timing LLC v. Simms (W.D. Wash.), 1385
 UMB Fin. Servs. Inc.; Bank of Am. N.A. v. (8th Cir.),
 1673
 United Mo. Bank, Warsaw; Morof v. (6th Cir.), 1641
 United Rentals Inc.; First N.Y. Sec. Inc. v. (2d Cir.),
 1665
 United v. Caldwell (D.D.C.), 121
 Universal Corp.; SEC v. (D.D.C.), 1551
 Universal Leaf Tabacos Ltda.; U.S. v. (E.D. Va.),
 1551
 Urban, In re (SEC), 1719; 2280; 2337
 U.S. v. *See name of opposing party*
 Utsick; SEC v. (11th Cir.), 736
 UTStarcom Inc.; SEC v. (N.D. Cal.), 92

 Valentine, In re (SEC), 1865
 Valone v. Valone (N.D. Ga.), 2137
 Vanderploeg; CFTC v. (D. Kan.), 1878
 Vassallo; SEC v. (E.D. Cal.), 853
 Vaughan; SEC v. (D.N.M.), 586; 2280
 VCG Special Opportunities Master Fund Ltd.;
 Citigroup Global Mkts. Inc. v. (2d Cir.), 444
 Venetis; SEC v. (D.N.J.), 1673
 Veraz Networks Inc.; SEC v. (N.D. Cal.), 1294
 VeriFone Holdings Inc.; King v. (Del. Ch.), 1068
 Veritas Software Corp. Sec. Litig., In re (3d Cir.),
 1909
 Versata Enters. Inc.; Selectica Inc. v. (Del. Ch.), 482;
 (Del.), 1260; 1383; 1916
 Vescor Capital Corp.; SEC v. (10th Cir.), 636
 vFinance Investments Inc., In re (SEC), 637; 1324
 Vilar; U.S. v. (S.D.N.Y.), 270
 Villalba; Burdick v. (W.D. Wash.), 2124
 Villalba; CFTC v. (N.D. Ohio), 633
 Villalba; SEC v. (N.D. Ohio), 633
 Villalba; U.S. v. (N.D. Ohio), 633; 1863
 Villalobos; California v. (Cal. Super. Ct.), 929
 Villegas; U.S. v. (S.D. Fla.), 1958
 Visual Mgmt. Sys. Inc.; Intelligent Digital Sys. LLC
 v. (E.D.N.Y.), 267
 Vitale; SEC v. (S.D. Fla.), 311
 Vitol Inc., In re (CFTC), 1768
 Vivendi Universal, S.A. Sec. Litig., In re (S.D.N.Y.),
 223
 Vizcaya Partners Ltd.; Picard v. (Bankr. S.D.N.Y.),
 1668
 Vladimir v. Bioenvision (2d Cir.), 682
 Vodopia v. Koninklijke Philips Elec. NV (2d Cir.),
 2063
 Voegeli; UBS Sec. LLC v. (S.D.N.Y.), 234

 Wachovia Bank N.A.; CDO Plus Master Fund Ltd. v.
 (S.D.N.Y.), 1592
 Wachovia Bank N.A.; St. Petersburg, City of v. (M.D.
 Fla.), 1518
 Wachovia Sec. LLC v. Raifman (N.D. Cal.), 2124
 Wachovia Sec. LLC; Kagan v. (N.D. Cal.), 1369
 Wagner; SEC v. (D. Mass.), 91
 Wall Street Communications Inc.; SEC v. (M.D. Fla.),
 1552
 Wallace; CFTC v. (S.D.N.Y.), 2316
 Wallace; U.S. v. (S.D.N.Y.), 2316
 Walsh; CFTC v. (2d Cir.), 1604
 Walsh; CFTC v. (N.D. Ill.), 492
 Warwick; U.S. v. (E.D. Va.), 1295

 Washington Mut. Inc. Sec., Derivative & ERISA
 Litig., In re (W.D. Wash.), 2167
 Wealth Mgmt. LLC; SEC v. (7th Cir.), 2255
 Wehrs; SEC v. (D. Md.), 232
 Weidgans; U.S. v. (S.D. Fla.), 296
 Weisler; U.S. v. (D.D.C.), 121
 Weitzman; U.S. v. (S.D.N.Y.), 1148
 Wells Fargo Bank NA v. Lake of Torches Econ. Dev.
 Corp. (W.D. Wis.), 969
 Wells Fargo Bank NA; Rushing v. (M.D. Fla.), 2311
 Wendt; Schleicher v. (7th Cir.), 1623
 West Beach LLC; CS Assets LLC v. (11th Cir.), 652
 West Fork Energy Co. LLC; Arkoma Basin Project
 Ltd. P'ship v. (5th Cir.), 1325
 Western Inv. LLC; Gabelli Global Multimedia Trust
 Inc. v. (D. Md.), 672
 Western Wash. Laborers-Employers Pension Trust v.
 Panera Bread Co. (E.D. Mo.), 533
 Westland Police and Fire Ret. Sys., City of v. Axcelis
 Techs. Inc. (Del.), 1568
 Westmoore Mgmt. LLC; SEC v. (C.D. Cal.), 1207
 Westport Natl. Bank; Pitkin v. (Conn. Super. Ct.), 807
 Weyhrauch v. U.S. (U.S., vac and rem), 1239
 WG Trading Investors LP; SEC v. (2d Cir.), 1604
 White; U.S. v. (N.D. Tex.), 1174
 Whitney; CFTC v. (S.D.N.Y.), 2434
 Wiederhorn v. Merkin (N.Y. Sup. Ct.), 1628
 Wier; U.S. v. (D.D.C.), 121
 Wilcox; U.S. v. (S.D. Fla.), 1953; 2174
 Williams & Connolly LLP v. SEC (D.D.C.), 1535
 Winitch; U.S. v. (S.D.N.Y.), 2405
 Winkelried; Bader v. (E.D.N.Y.), 1569
 Wiselhart; Blades v. (Del. Ch.), 2309
 Wolff; U.S. v. (C.D. Cal.), 106; 815
 Woltin; Karten v. (Fla. Dist. Ct. App.), 63
 World Harvest Church Inc. v. GuideOne Mut. Ins. Co.
 (Ga.), 930
 World Holdings LLC v. Federal Republic of Germany
 (11th Cir.), 1532
 Wren Holdings Inc.; Dubroff v. (Del. Ch.), 1694
 Wright; SEC v. (D. Utah), 1327
 Wyly; SEC v. (S.D.N.Y.), 1451; 2123

 Yancy; CFTC v. (S.D. Tex.), 1647
 Young, In re (SEC), 13
 Young; U.S. v. (E.D. Pa.), 746
 Yu v. State Street Corp. (S.D.N.Y.), 407; 1422
 Yucaipa Am. Alliance Fund II LP v. Riggio (Del.
 Ch.), 1604; (Del.), 1760
 Yuen; McKissick v. (10th Cir.), 1757
 Yunusov; CFTC v. (N.D. Ill.), 1224

 Zaman; U.S. v. (N.D. Cal.), 130
 Zang v. Fidelity Mgmt. & Research Co. (D. Mass.),
 686
 Zangari; SEC v. (E.D.N.Y.), 463
 Zehil; U.S. v. (S.D.N.Y.), 541
 Zilkha, In re (SEC), 1042